

FFY 2012 (July 1, 2012 – June 30, 2013) Annual Performance Report (APR)

Stakeholder Input for the Annual Performance Report (APR):

South Dakota, Birth to Three program – Part C obtained broad stakeholder input when developing the Annual Performance Report (APR). This included the following:

- Collaboration with Part C Birth to Three state staff, Mountain Plains Regional Resource Center, Special Education Program Consultant and Office of Special Education Program (OSEP) to provide technical assistance on the process of developing the APR.
- Input from service coordinators throughout 2012-2013.
- Input from the State Interagency Coordinating Council (SICC) and Stakeholders who collaborated with the State Lead Agency (Department of Education) to develop the APR. The SICC and Stakeholders met January 2014. The members represented a variety of programs and agencies such as Early Head Start, the Division of Insurance, Early Intervention Providers, Parents, South Dakota Parent Connections, South Dakota Department of Health, Black Hills State University Personnel Preparation, South Dakota Medical Services/Medicaid, South Dakota Homeless, South Dakota Foster Care/Child Protection Services, South Dakota Department of Health and Human Services/Children's Mental Health, South Dakota Child Care Services, Birth to Three Regional Program Contractors, Birth to Three Service Coordinators, South Dakota Education Cooperative, the Council of Administration of Special Education (CASE), Part B, Mountain Plains Regional Resource Center, and Part C staff.
- Reviewing the Annual Performance Report and updated State Performance Plan with Stakeholders and the Interagency Coordinating Council for additional comments and changes.
- Providing a copy of the Annual Performance Report to the Governor.

Public Reporting of the Annual Performance Report:

- Posting the Annual Performance Report and updated State Performance Plan on the Birth to Three website at <http://doe.sd.gov/oess/Birthto3.aspx> .
- Public Notices in the five (5) major South Dakota newspapers notifying the public of the website <http://doe.sd.gov/oess/Birthto3/index.aspx> where the Annual Performance Report and updated State Performance Plan can be accessed. Hard copies of the reports will be available upon request. Newspapers printing the public notices are as follows:
Sioux Falls Argus Leader; Aberdeen American News;
Huron Plainsman; Pierre Capitol Journal; and Rapid City Journal.
- Notifying the SICC and Stakeholders, all regional Birth to Three programs, service coordinators, and providers of the availability of the Annual Performance Report and the State Performance Plan on the Birth to Three website <http://doe.sd.gov/oess/Birthto3/index.aspx> . Hard copies of the reports will be available upon request.
- South Dakota Parent Connection will announce publication of the Part C Annual Performance Report and updated State Performance Plan in the newsletter "The Circuit" for parents.
- The Birth to Three program will publicly report local data on the required Indicators 1, 2, 3, 4, 5, 6, 7 & 8 by regional Birth to Three programs. The FFY 2012 information will be available the Spring of 2014 on the Birth to Three website <http://doe.sd.gov/oess/Birthto3/index.aspx> .

Part C State Annual Performance Report (APR) for FFY 2012 (July 1, 2012 – June 30, 2013)

Overview of the Annual Performance Report Development:

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services, including the reasons for delays.

FFY	Measurable and Rigorous Target
2012 (2012-2013)	100 percent of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.

Actual Target Data for FFY 2012 (July 1, 2012 – June 30, 2013) is 100%: Target Met

South Dakota Part C program met the target for the July 1, 2012 – June 30, 2013 reporting period as a result of monitoring the three local programs during the scheduled monitoring cycle (see Table # 1). In FFY2012, the state reviewed 107 files, and 107 files had timely services.

In South Dakota, Birth to Three defines timely services as services beginning within 30 calendar days from the date the parent signs the Individualized Family Service Plan (IFSP). During the period July 1, 2012 to June 30, 2013, the state monitored the following areas and did not find compliance issues with these local programs on timely services.

Table # 1

Local Program	Monitoring	Number of Files Reviewed	# of Files with Timely Services	Findings on Timely Services
Southeast Area Birth to Three	Fall 2012	46	46	-0-
Northwest Area Birth to Three	Fall 2012	19	19	-0-
South Dakota CARES Birth to Three	Spring 2013	42	42	-0-

Regional area programs are reviewed every three years by the state. Each of the 9 programs will be reviewed within the 3 year scheduled monitoring cycle. The monitoring process includes early

intervention record review of 30% of the files randomly selected. The data are valid and reliable because the data system is used to compare the IFSP meeting and the first billing of each service to determine if timely services are being met. In addition there are interviews with parents and local service providers. A survey is sent directly from the state office to all parents in the regional program that includes questions about timely services. The findings from these monitoring procedures are compiled into a final report. An improvement plan with corrective action steps and timelines for correction is developed by the regional area program and approved by the state Part C staff. Technical assistance is provided to the regional program areas as needed to ensure closures of corrective action plan within one year.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (July 1, 2012 – June 30, 2013):

The target of 100 percent for 2012-2013 as set forth in the SPP for FFY 2012 was met. This was the same as performance in FFY 2011 of 100%.

The Birth to Three data are valid and reliable because the state staff reviews all data information as compared to child records before final entry into the data software system.

SPP ACTIVITIES FOR INDICATOR 1	PROGRESS ON IMPROVEMENT ACTIVITIES – JULY 1, 2012 – JUNE 30, 2013
Monitoring system has been revised to allow monitoring of this indicator.	The current data system has the capability of producing reports on each child and whether timely services occurred and any exceptional family circumstances. The report is used periodically throughout the year to ensure all children are receiving timely services. This activity is on-going.
Monitoring system has been implemented for this indicator.	Fall of 2012 and Spring 2013 –Southeast Area Birth to Three, Northwest Area Birth to Three, and South Dakota CARES Birth to Three programs were monitored for timely services. This activity has been completed.
Technical Assistance Guide has been developed and disseminated to Service Coordinators to provide guidance on documenting timely services.	Technical assistance on timely services is reviewed by Service Coordinators on an on-going basis. A Timely Services document was also distributed to all providers through the provider list serv and posted on the Birth to Three website. This activity is on-going.
Training and technical assistance provided for providers and service coordinators which reinforce the importance of starting services in a timely manner and the definition of “timely” early intervention services	As needed, the Birth to Three state staff provided training to service coordinators which includes timely services. Early intervention providers receive information on

	<p>timely services an on-going basis throughout the year.</p> <p>Since implementing the current monitoring system, there have been no findings around timely services.</p> <p>This activity is on-going.</p>
<p>Birth to Three Program will monitor programs for compliance with this indicator. When noncompliance is identified, state staff will work with the programs to determine nature of noncompliance and develop Corrective Action.</p>	<p>The following local programs were monitored in the past year and monitoring included monitoring for timely services: Southeast Area Birth to Three, Northwest Area Birth to Three, and South Dakota CARES Birth to Three. There were no compliance issues.</p> <p>This activity has been completed for this reporting period.</p>

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (July 1, 2012 – June 30, 2013):

No revisions necessary.

Part C State Annual Performance Report (APR) for FFY 2012 (July 1, 2012 – June 30, 2013):

Overview of the Annual Performance Report Development:

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement: Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

FFY	Measurable and Rigorous Target
2012 (2012-2013)	97.8% of infants and toddlers with IFSPs will receive early intervention services primarily in the home, or programs for typically developing children.

Actual Target Data for FFY 2012 (July 1, 2012 – June 30, 2013): Target Met

Currently, the state is at 100% in serving children in the natural environment. These data are based on settings and child count from December 1, 2012. South Dakota exceeded its target of 97.8%.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (July 1, 2012 – June 30, 2013):

South Dakota Part C program provides services for infants and toddlers in the natural environment as appropriate to the child's needs and based upon the IFSP. The December 1, 2012 child and settings count shows that 100% of the services were provided in the home or programs for typically developing children. Every IFSP is reviewed by state staff to ensure the natural environment requirements are followed correctly. If issues arise, immediate follow-up and technical assistance is provided by the state staff. Findings are issued for any noncompliance identified.

The FFY 2012 performance is the same as the performance of 100% in FFY 2011.

Natural Environment in South Dakota 1999-2012

Year	Total on child count December 1	% being served in natural environments
1999	611	97%
2000	645	97%
2001	655	97%
2002	704	96%
2003	830	97%
2004	897	96%
2005	935	96.8%
2006	1006	98%

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South Dakota
State

2007	1132	100%
2008	1128	100%
2009	1029	100%
2010	1106	100%
2011	1091	100%
2012	1071	100%

During FFY 2012, 100% of the children were provided early intervention services in the home or programs for typically developing children.

The Birth to 3 Program data is valid and reliable. The state staff reviews all child record information before final entry into the data software system.

SPP ACTIVITIES FOR INDICATOR 2	PROGRESS ON IMPROVEMENT ACTIVITES JULY 1, 2012 – JUNE 30, 2013
<p>Examine State’s data to determine age group patterns for participation in natural environments:</p> <ul style="list-style-type: none"> • Run and analyze data • Share data with regional programs at Annual Fall Service Coordinator Conference • Implement improvement strategies as necessary 	<p>The data showed that South Dakota provided early intervention services in the home or programs for typically developing children. The data is shared with Service Coordinators and will be publicly posted on the Birth to Three website. Technical assistance is provided as needed.</p> <p>This activity is on-going.</p>
<p>Provide technical assistance on the above activities as needed.</p>	<p>State staff reviewed every IFSP as they were received to ensure the requirements for natural environment were met. Technical assistance was provided to service coordinators and providers as needed.</p> <p>This activity is on-going.</p>
<p>Provide training to service coordinators on the 618 setting definitions.</p>	<p>The 618 data, including data on the natural environment, showed that South Dakota provided early intervention services in the home or programs for typically developing children. Technical assistance was provided as needed.</p> <p>This activity is on-going.</p>
<p>Birth to 3 Program will monitor programs for compliance related to this indicator. When noncompliance is identified, state staff will work with the programs to determine nature of noncompliance and develop Corrective Action.</p>	<p>The Birth to 3 Program continued to monitor for compliance issues as needed. When monitoring Southeast Area, Northwest Area, and South Dakota CARES there were no compliance issues with the natural environment.</p> <p>State staff reviewed every IFSP as they were received to ensure the requirements for natural environment were met.</p> <p>This activity has been completed for this reporting period.</p>

APR Template – Part C (4)

South Dakota
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Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (July 1, 2012– June 30, 2013):

No revisions necessary.

Part C State Annual Performance Report (APR) for FFY 2012 (July 1, 2012 – June 30, 2013)

Overview of the Annual Performance Report Development:

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

A. Positive social-emotional skills (including social relationships):

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

B. Acquisition and use of knowledge and skills (including early language/communication):

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = $[(\# \text{ of infants and toddlers who improved functioning to reach a level comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = $[(\# \text{ of infants and toddlers who maintained functioning at a level comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.

If a + b + c + d + e does not sum to 100%, explain the difference.

C. Use of appropriate behaviors to meet their needs:

- a. Percent of infants and toddlers who did not improve functioning = $[(\# \text{ of infants and toddlers who did not improve functioning}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = $[(\# \text{ of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = $[(\# \text{ of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = $[(\# \text{ of infants and toddlers who improved functioning to reach a level comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = $[(\# \text{ of infants and toddlers who maintained functioning at a level comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.

Summary Statements for Each of the Three Outcomes:

Summary Statement 1: Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 1: Percent = $[\# \text{ of infants and toddlers reported in progress category (c) plus } \# \text{ of infants and toddlers reported in category (d)} \div [\# \text{ of infants and toddlers reported in progress category (a) plus } \# \text{ of infants and toddlers reported in progress category (b) plus } \# \text{ of infants and toddlers reported in progress category (c) plus } \# \text{ of infants and toddlers reported in progress category (d)}] \times 100$.

Summary Statement 2: The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 2: Percent = $[\# \text{ of infants and toddlers reported in progress category (d) plus } \# \text{ of infants and toddlers reported in progress category (e)} \div \text{the total } \# \text{ of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)}] \times 100$.

FFY	Measurable and Rigorous Target		
FFY2012 (2012-2013)	Outcome A: Positive social-emotional skills	Outcome B: Acquisition and use of knowledge and skills	Outcome C: Use of appropriate behaviors to meet their needs
	1. 45.0% 2. 81.4%	1. 49.4% 2. 65.4%	1. 65.0% 2. 90.1%

Actual Target Data for FFY2012 (July 1-, 2012 – June 30, 2013): Target Met in each of the three outcome categories.

Summary Statements	Targets FFY 2010 -2012 (% of children)	Actual FFY 2010 (% of children)	Actual FFY 2011 (% of children)	Actual FFY 2012 (% of children)
Outcome A: Positive social-emotional skills (including social relationships)				
1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they exited the program	45.0%	38.4%	48.9%	53.1%
2. The percent of children who were functioning within age expectations in Outcome A by the time they exited the program	81.4%	80.2%	84.1%	85.8%
Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy)				
1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they exited the program	49.4%	47.4%	48.6%	50.8%
2. The percent of children who were functioning within age expectations in Outcome B by the time they exited the program	65.4%	65.0%	65.0%	65.7%
Outcome C: Use of appropriate behaviors to meet their needs				
1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they exited the program	65.0%	68.0%	67.6%	69.2%

APR Template – Part C (4)

South Dakota
State

2. The percent of children who were functioning within age expectations in Outcome C by the time they exited the program	90.1%	91.2%	91.7%	93.5%
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Progress Data for Part C Children FFY 2010-2012

A. Positive social-emotional skills (including social relationships):	Number of children FFY2010	% of children FFY2010	Number of children FFY2011	% of children FFY2011	Number of children FFY2012	% of children FFY2012
a. Percent of children who did not improve functioning	90	18.3%	71	13.95%	61	12.00%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	0	0.0%	0	0.0%	0	0.0%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	7	1.4%	10	1.96%	11	2.2%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	49	10.0%	58	11.39%	58	11.4%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	345	70.3%	370	72.69%	377	74.4%
Total	N=491	100%	N=509	100%	N=507	100%
A. Acquisition and use of knowledge and skills (including early language/communication):	Number of children FFY2010	% of children FFY2010	Number of children FFY2011	% of children FFY2011	Number of children FFY2012	% of children FFY2012
a. Percent of children who did not improve functioning	139	28.3%	128	25.15%	124	24.50%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	1	0.2%	3	0.59%	2	.40%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	32	6.5%	47	9.23%	48	9.5%
d. Percent of children who improved functioning to	94	19.1%	77	15.13%	82	16.2%

reach a level comparable to same-aged peers						
e. Percent of children who maintained functioning at a level comparable to same-aged peers	225	45.8%	254	49.90%	251	49.5%
Total	N=491	100%	N=509	100%	N=507	100%
C. Use of appropriate behaviors to meet their needs:	Number of children FFY2010	% of children FFY2010	Number of children FFY2011	% of children FFY2011	Number of children FFY2012	% of children FFY2012
a. Percent of children who did not improve functioning	29	5.9%	32	6.29%	26	5.1%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	3	0.6%	1	0.20%	2	.40%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	11	2.2%	9	1.77%	5	1.0%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	57	11.6%	60	11.79%	58	11.4%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	391	79.6%	407	79.96%	416	82.1%
Total	N=491	100%	N=509	100%	N=507	100%

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY2012 (July 1, 2012 – June 30, 2013):

In South Dakota school districts are required by law to conduct the evaluation to determine a child's eligibility for Part C and Part B services. Two standardized testing instruments are required to determine eligibility. Although not mandated, the use of the BDI-2 is strongly encouraged by the state office as one of the testing instruments. To date, all school districts use the BDI-2 as one of the testing instruments. Therefore, children are evaluated using a consistent method which enhances the validity of the data.

Each school district has access to the BDI-2 data system for entering the child's scores. The state office compares the children in the BDI-2 data system to the children who have exited the Part C program to ensure that all appropriate testing was completed and the scores recorded. School districts are contacted for an explanation if there are missing scores for a child who is potentially eligible for Part B services.

The data includes the entry scores of a child who has been in the program for at least 6 months and is exiting the program. The BDI-2 entry and exit scores are then compared to determine the child's progress in the three outcomes areas. During July 1, 2012 – June 30, 2013, 507 children were tested when they both entered and exited the program. The entry scores are determined by the standard deviation scores from each outcome area for each child. The cutoff for each domain used to determine whether a child entered at age appropriate or below age appropriate is -1.5 Standard Deviations below the norm on the BDI-2 scoring chart. This cutoff was chosen because it aligns with the state eligibility

criteria for qualifying for services. A score above -1.5 does not qualify a child for services. The entry scores are then compared to the exit scores using the same criteria. By comparing the two test scores, a child’s progress can be measured. An analysis of the data was conducted using Summary Statements 1 and 2 and the ECO Summary Statement Calculator.

The following activities clarify the timelines for collecting data used to establish a baseline and for identifying measurable and rigorous targets:

Improvement Activities/Timelines/Resources

ACTIVITIES FOR INDICATOR 3	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2012 – JUNE 30, 2013
Provide training and technical assistance to improve quality of data and address any slippage.	State B-3 staff provided ongoing training to service coordinators and providers. This activity is on-going.
Continue to collect entry and exit score data. Data analysis conducted to address progress or slippage.	State B-3 staff collected three years of data so that a baseline target could be established. This activity has been completed.
Data analysis conducted to determine progress or slippage. Analysis conducted of FFY 2009 data and measurable and rigorous targets established for FFY 2009-2010.	State B-3 staff and the Interagency Coordinating Council analyzed FFY 2009 data and set baseline targets for FFY 2009 - 2010. This activity has been completed.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY2012 (July 1, 2012- June 30, 2013):

[If applicable]

Training and technical assistance to school districts will be provided as needed to improve the quality of data and address any slippage. In addition, the ECO Summary Statement Calculator will be used to measure outcomes in subsequent years so that progress or slippage can be measured through a consistent method.

Part C State Annual Performance Report (APR) for FFY 2012 (July 1, 2012 – June 30, 2013)

Overview of the Annual Performance Report Development:

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.

B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.

C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

FFY	Measurable and Rigorous Target		
	<i>A. Know their rights</i>	<i>B. Effectively communicate their child's needs</i>	<i>C. Help their child develop and learn</i>
2012 <i>(2012-2013)</i>	96.6%	90.2%	90.0%

Actual Target Data for July 1, 2012 – June 30, 2013:

Display 4-1: Percent of families who state that the Birth to 3 Program has helped them.

	A. Know their rights	B. Effectively communicate their child’s needs	C. Help their child develop and learn
Number of Parents who received a score for a given area	209	209	209
Number of families who said early intervention services helped them	207	205	206
Percent of families who said early intervention services helped them	99.0%	98.1%	98.6%

The target for A. was met.

The target for B. was met.

The target for C. was met.

The purpose of the family outcome survey is to assist the Birth to 3 Program in determining how early intervention services have helped the family: (A) know their rights; (B) effectively communicate their children’s needs, and (C) help their children develop and learn. The survey data will assist the program in tailoring early intervention services and will result in positive outcomes for families as well as improved outcomes for children.

In FFY2012, a total of 802 surveys were distributed to Part C families, and 209 were returned for a response rate of 26.1%. Response rates over time have ranged from a low of 23.2% to a high of 39.4%

Between FFY2008 and FFY2010, the response rate has been above 30%. The response rate of 26.1% in FFY2012 is concerning and follow-up will be done with the nine regions to determine potential reasons for the decline. Response rates by region were examined; in FFY 2012 two regions had response rates lower than 20%. Special attention will be given to these regions.

To arrive at the percent of parents who report that the Birth to 3 program has helped them achieve each of the three areas, a “percent of maximum” scoring procedure was used. A “percent of maximum” score based on one item for area A, one item for area B, and one item for area C was calculated. Each survey respondent received a percent of maximum score for each of these three areas. A respondent who rated early intervention services a “1” (Very Strongly Agree) on each item for a given target area received a 100% score for that target area; a respondent who rated early intervention services a “6” (Very Strongly Disagree) on each item for a given target area received a 0% score. A respondent who rated early intervention services a “3” (Agree) on each item for a given target area received a 60% score for that target area. A parent who has a percent of maximum score of 60% or above was identified as one who met a given target area. A 60% cut-score is representative of a parent who, on average, agrees with each item; as such, the family member is agreeing that the Birth to 3 program has helped them.

The items used to arrive at a score for each area are listed in Display 4-2.

Display 4-2: Items Used to Calculate a Score for Each Area

A. Know their rights

Over the past year, Early Intervention services have helped me and/or my family:

- 5. receive understandable information about our rights concerning early intervention services through Birth to 3 Connections.

B. Effectively communicate their children's needs

Over the past year, Early Intervention services have helped me and/or my family:

- 6. effectively communicate my child's needs to those who interact with my child on a daily basis.

C. Help their children develop and learn

Over the past year, Early Intervention services have helped me and/or my family:

- 7. to help my child develop and learn more effectively.

Reliability and Validity

The representativeness of the surveys was assessed by examining the demographic characteristics of the children of the parents who responded to the survey to the demographic characteristics of children in the Part C system in South Dakota. This comparison indicates the results are representative by geographic region where the child receives services and by race/ethnicity. Parents from each region responded to the survey. In addition, 73% of the parents who returned a survey indicated that their children are white and 72% of Part C children are white; 18% of parents who returned a survey indicated that their children are Native American and 16% of Part C children are Native American.

Explanation of progress or slippage that occurred for FFY 2012 (July 1, 2012 – June 30, 2013):

As indicated below in Display 4-3, scores have increased over time with FFY2011 & FFY2012 scores being very slightly lower than FFY2010 scores.

Display 4-3: Percent of families who state that the Early Intervention Program has helped them, Results Over Time

	A. Know their rights							
	FFY 2005	FFY 2006	FFY 2007	FFY 2008	FFY 2009	FFY 2010	FFY 2011	FFY 2012
Number of families who received a score for a given area	187	133	226	317	250	291	241	209
Number of families who said early intervention services helped them	179	125	221	306	246	289	239	207
Percent of families who said early intervention services helped them	95.6%	93.9%	97.8%	96.5%	98.4%	99.3%	99.2%	99.0%

	B. Effectively Communicate Their Child's Needs							
	FFY 2005	FFY 2006	FFY 2007	FFY 2008	FFY 2009	FFY 2010	FFY 2011	FFY 2012
Number of families who received a score for a given area	187	133	226	317	250	291	241	209
Number of families who said early intervention services helped them	167	119	220	303	244	287	237	205
Percent of families who said early intervention services helped them	89.2%	89.4%	97.4%	95.6%	97.6%	98.6%	98.3%	98.1%

	C. Help their child develop and learn							
	FFY 2005	FFY 2006	FFY 2007	FFY 2008	FFY 2009	FFY 2010	FFY 2011	FFY 2012
Number of families who received a score for a given area	187	133	226	317	250	291	241	209
Number of families who said early intervention services helped them	166	119	213	305	246	288	237	206
Percent of families who said early intervention services helped them	89.0%	89.3%	94.3%	96.2%	98.4%	99.0%	98.3%	98.6%

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (July 1, 2012 – June 30, 2013):

The Birth to Three Program continued to reinforce the importance of Service Coordinators explaining parents' rights in plain language so that each family understands their rights under the law. Also stressed was the importance of hand-delivering the surveys to families and explaining how the results of the survey are used to improve the program. By monitoring the number of surveys returned from each region, targeted technical assistance was provided to those service coordinators.

For additional information, please refer to the SPP, Indicator 4.

ACTIVITIES	PROGRESS IMPROVEMENT ACTIVITIES JULY 1, 2012 – JUNE 30, 2013
Continuous collaboration with MPRRC consultant with the NCSEAM survey.	State staff will work with MPRRC consultant on the NCSEAM survey. This activity is on-going.

<p>Continuous collaboration with ICC/Stakeholder members on revising NCSEAM survey on examining the questions and deciding if the response scale and the length of the survey needs to change to eliminate the high percentage of non-response.</p>	<p>State staff collaborated with the ICC/Stakeholder members and Service Coordinators to revise the number of questions on the survey to increase the response rate.</p> <p>This activity has been completed for this reporting period.</p>
<p>Continuous technical assistance as needed throughout the year.</p>	<p>State staff provided technical assistance as needed to the Service Coordinators on ways to enhance the return rate of the survey.</p> <p>This activity is on-going.</p>
<p>Provide updates and technical assistance to service coordinators on the NCSEAM survey.</p>	<p>State staff provided technical assistance as needed to the Service Coordinators on the survey.</p> <p>This activity is on-going.</p>
<p>Continuous tracking of the response rates and concerns in the regional areas for the purpose of trying to achieve maximum program satisfaction data.</p>	<p>State staff provided technical assistance as needed to the Service Coordinators to achieve program satisfaction.</p> <p>This activity is on-going.</p>

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (July 1, 2012 – June 30, 2013):
[If applicable]

No revisions necessary.

See Attachment 1 at the end of this indicator to view the survey used in FFY 2012.



Dear Parents/Guardians:

This is a survey for families exiting Birth to Three. We would really appreciate your taking time to answer the questions on the survey. **Your responses will help guide efforts to improve services and results for children and families.** Your service coordinator will hand you this survey packet upon exiting the program. Please take a couple of minutes right now to complete the survey, put it in the addressed, stamped envelope and hand it back to the Service Coordinator. If you would prefer, you may also drop your completed survey in the mail (no postage necessary). There is nothing on the forms that will identify you in any way unless you choose to add your personal information. We have appreciated your participation in Birth to Three and sincerely hope it has made a difference for your child and family. Thank you!

**BIRTH TO THREE IN SOUTH DAKOTA
FAMILY SURVEY**

	Very Strongly Agree 	Strongly Agree 	Agree 	Disagree 	Strongly Disagree 	Very Strongly Disagree
1. My Service Coordinator contacts me according to the agreed upon time written in the Individual Family Service Plan (IFSP).	1	2	3	4	5	6
2. My Service Coordinator is knowledgeable and professional.	1	2	3	4	5	6
3. My Service Coordinator explains written information in an understandable way.	1	2	3	4	5	6
4. My Service Coordinator provides information about how services may change when my child turns age three.	1	2	3	4	5	6
5. My Service Coordinator and the family right's booklet helped me understand my rights. (Examples of parent rights; I am a team member and can help make decisions about my child, the right to choose or deny services offered, and my child's information stays private.)	1	2	3	4	5	6
6. As a result of Birth to 3 services received, I am able to effectively communicate my child's needs to those who interact with my child on a daily basis.	1	2	3	4	5	6
7. As a result of Birth to 3 services received, I am able to help my child develop and learn more effectively.	1	2	3	4	5	6

Part C State Annual Performance Report (APR) for FFY 2012 (July 1, 2012 – June 30, 2013):

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2012 (2012-2013)	.91 percent of South Dakota infant and toddlers under age 1 will receive early intervention services

Actual Target Data for FFY 2012 (July 1, 2012 – June 30, 2013): Target Met

Currently, the state is at 1.36%. The number is based on December 1, 2012 child count. South Dakota exceeded its .91% target by 0.45%.

Actual Target Data for July 1, 2012 to June 30, 2013

Birth to One	2005	2006	2007	2008	2009	2010*	2011*	2012*
Estimated state population of children under the age of one	11,067	11,464	11,720	12,368	12,342	11,650	11,669	11,682
Child count for children under the age of one	91	139	135	108	109	141	146	159
Percentage of children birth to one served on December 1	0.82%	1.21%	1.15%	.87%	.88%	1.21%	1.25%	1.36%
National goal (actual achievement)	1.00% (0.92%)	1.00% (0.99%)	1.00% (1.06%)	1.00% (1.04%)	1.00% (1.03%)	1.00% (1.03%)	1.00% (1.02%)	1.00% (1.06%)

*U.S. Bureau of the Census, population data for 2012

Of the 19 states with a Moderate Eligibility criteria ranking according to ITCA, South Dakota ranked 5th in percentage of children served age birth to one (based on data from December 1, 2012).

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (July 1, 2012 – June 30, 2013):

Service Coordinators will continue to collaborate with the three Neonatology Intensive Care Units (NICUs), health care clinics, and family physicians in their regions. A letter was sent to all family practice physicians, pediatricians, and other health care professionals to provide them with Birth to Three program information. Training and technical assistance for providers and service coordinators was provided through webinars and regional face to face meetings throughout the year. Improvement plans were developed by the Birth to Three regional programs – which did not meet the established target.

The US Census reports the birthrate for South Dakota increased by .11% in the zero to age one category. The data shows that South Dakota increased in the zero to age one category from FFY2011 1.25% (146 children) to 1.36% (159 children) in FFY2012. South Dakota birthrate increased and likewise more children were identified as being eligible for early intervention services. South Dakota exceeding its target of .91% and exceeded the National average of 1.06%. Identifying more children may be due in part to additional training and technical assistance which was provided to service coordinators throughout the year, and continued collaboration with the three neonatal hospitals servicing South Dakota.

Focus on Results

In addition, South Dakota was selected by the US Department of Education, Office of Special Education Programs (OSEP) to participate in a “Focus on Results” event for Indicator 5. The event was held at the SD Department of Education on September 15-16, 2011, with OSEP representatives, state Birth to Three staff, and service coordinators from the Black Hills Birth to Three program and the Center for Disabilities Birth to Three program. Participants developed a written plan to improve their efforts in identifying children from birth to age one for early intervention services. The state office monitored the progress of each improvement plan.

	FFY2010 Child Count 0 -1	FFY2010 Target .90%	FFY2011 Child Count 0 - 1	FFY2011 Target .91%	FFY2012 Child Count 0 - 1	FFY2012 Target .91%
Black Hills Birth to Three	26	1.21%	23	.99%	28	1.15%
Center for Disabilities Birth to Three	34	1.05%	37	1.14%	34	1.04%

Black Hills Birth to Three Plan to Improve Child Count Results in the Birth to Age One Category

The Black Hills Birth to Three program declined slightly in the birth to age one category, - from 26 children in FFY 2010 to 23 children in FFY 2011, a 0.22% decrease. Yet the Black Hills Birth to Three program exceeded the FFY2011 target of 0.91% by 0.08%. During FFY2011 the program was unable to complete

the improvement activities as planned due to staff shortages during the year. FFY2012, Black Hills Birth to Three focused on the improvement plan activities and increased the birth to age one child count by 5 children, exceeding the state's target of 91% by .24%. The following is a list of the Black Hills Birth to Three activities which continued throughout FFY2012:

Improvement Activities

1. Call 211 to find out how Birth To Three is accessed with key terms.
2. Contact Black Hills State University and talk to the education department to inform students and teachers of the Birth to Three program.
3. Community Health - Meet with Nurses and Doctors to talk about the Birth to Three program.
4. NICU – Birth to Three presentation to the social workers, head nurse/nurses, and therapists
5. Indian Health Services - Sioux San Hospital - meet with staff
6. Touch base with Wendy at Rural American Initiatives and bring new flyers
7. Contact Dr. Spahn at Founders Park – Introduce Birth to Three staff, give handouts/flyers
8. Contact Northern Hills and Southern Hills Regional Medical Centers
9. Contact Department of Social Services - Introduce Birth to Three staff to DSS Rapid City, DSS Northern Hills, and DSS Southern Hills
10. Early Childhood Connections-Autumn Gregory-flyers for dissemination to Parents and Childcare providers
11. Ellsworth Air Force Base Pediatrics - Introduce and disseminate Birth to Three materials
12. Meet with Early Head Start Home Visitors and Disabilities Education Staff in Rapid City
13. Meet with Early Head Start - Northern Hills/Southern Hills
14. Prenatal Educators - Take flyers to education classes at hospital
15. Send expressions of appreciations to referral sources - hand written cards quarterly or as needed
16. Utilize Parent Connections for Birth to Three events, disseminating information, etc.
17. Women Infants and Children (WIC) - Meet with nurses in Rapid City, Northern Hills, and Southern Hills
18. Participate in local community awareness events.

Center for Disabilities Birth to Three Plan to Improve Child Count Results in the Birth to Age One Category

The Center for Disabilities Birth to Three program increased its birth to age one child count from 34 children in FFY 2010 to 37 children in FFY 2011, a 0.09% increase. The Center for Disabilities Birth to Three program exceeded the FFY2011 target of 0.91% by 0.23%. During FFY2011 the program was able to complete the improvement activities as planned. The Center for Disabilities Birth to Three program birth to one child count in FFY2012, decreased by 3 children or .10%. The Center for Disabilities will continue the improvement activities with the goal of maintaining or increasing the birth to age one child count category for FFY2013.

The following is a list of the activities which improved the identification and enrollment of children in the birth to age one category:

Improvement Activities

1. Developed NICU brochure (attached) and distributed to both NICUs in Sioux Falls.
2. Developed and distributed B-3 flyers (attached) throughout the covered counties.
3. Follow up with families where a re-screen was recommended.
4. Speak to vested parties regarding the B-3 program (clinic managers, NICU staff, Bright Start, Voices for Children, DSS, County Extension offices, 211 Helpline, Parent Connection, Sanford Child Services).
5. Continue to participate in Child Find activities with School Districts.
6. Developed YouTube video about Birth to Three.

The Birth to Three data is valid and reliable because the state staff reviews all data information before final entry into the data software system

SPP ACTIVITES FOR INDICATOR 5	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2012 – JUNE 30, 2013
Collect data on referral sources and identify gaps in outreach	<p>The state office continues to collect referral data from the regional program. The referral source has been added to the IFSP. This has provided the state with strong reliable data on referral sources.</p> <p>This activity is on-going.</p>
Meet with Neonatal Infant Care Units (NICUs) staff of Sanford Hospital, Avera McKennan Hospital, and Rapid City Regional Hospital, to dialog with them about the importance of Birth to Three programs for families in South Dakota.	<p>The Birth to 3 staff had on-going discussions with each NICU unit as appropriate, based on the number of referrals from each.</p> <p>The state will be analyzing the data to further determine the impact of the discussions with the NICUs.</p> <p>This activity is on-going.</p>
Identify each of the birthing facilities in the state and develop a training packet and presentation on appropriate referrals to Birth to Three.	<p>A training packet was developed on referrals to the Birth to Three program. The training packets were provided to the appropriate birthing facilities.</p> <p>This activity has been completed.</p>
Review and update marketing materials and website for the Birth to Three program	<p>The Birth to Three materials and website are reviewed every quarter or as needed.</p> <p>This activity is on-going.</p>

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (July 1, 2012 – June 30, 2013):

No revisions necessary.

Part C State Annual Performance Report (APR) for FFY 2012 (July 1, 2012 – June 30, 2013)

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2012 (2012-2013)	2.86 percent of South Dakota infants and toddler birth to three will be served by Birth to Three Program

Actual Target Data for FFY 2012 (July 1, 2012 – June 30, 2013): Target Met

Currently the state is at 3.05%. The data is based on child count from December 1, 2012. South Dakota exceeded its target of 2.86% by 0.19%.

Birth to Three	2005	2006	2007	2008	2009	2010*	2011*	2012*
Estimated state population of children under the age of three based on data from the US Census Bureau	32,168	33,845	34,621	35,918	36,645	35,638	35,272	35,116
Child count for children served under the age of three	935	1006	1132	1128	1,029	1,106	1,091	1,071
Percentage of children served birth to three	2.91%	2.97%	3.27%	3.14%	2.81%	3.10%	3.10%	3.05%
National goal (actual achievement)	2% (2.24%)	2% (2.30%)	2% (2.52%)	2% (2.66%)	2% (2.67%)	2% (2.82)	2% (2.79%)	2% (2.77%)

*U.S. Bureau of the Census, population data for 2012

Discussion of Baseline Data:

Of the 19 states with a Moderate Eligibility criteria ranking, South Dakota ranked 4th in percentage of children served age birth to three in 2011 (Based on data from December 1, 2012).

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (July 1, 2012 – June 30, 2013):

Service Coordinators will continue to collaborate with the three Neonatology Intensive Care Units (NICUs), health care clinics, and family physicians in their regions. A letter was sent to all family practice physicians, pediatricians, and other health care professionals to provide them with Birth to Three program information. Training and technical assistance for providers and service coordinators was provided through webinars and regional face to face meetings throughout the year. Improvement plans were developed by the Birth to Three regional programs which did not meet the established target.

In FFY 2012 the US Census reported estimated the population in the birth through age two category as 35,116; this is a decrease of this population as compared to FFY2011 estimated 35,272 reported. Fewer children in the zero through age three state populations resulted in a decrease of .05% identified as eligible for early intervention services. South Dakota exceeded its target of 2.86% and exceeded the National average of 2.77%.

The Birth to 3 program data is valid and reliable because the state staff reviews all data information before final entry into the data software system.

SPP ACTIVITIES	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2012- JUNE 30, 2013
Collect data on referral sources and identify gaps in outreach	Service coordinators collect the referral data on IFSPs and for children that do not qualify for the program. This activity is on-going.
Maintain current child find practices	The state office sends out marketing information and materials statewide to a variety of contacts. Service coordinators market the program within their local area. This activity is on-going.
Provide training for service coordinators on methamphetamine (meth) issues. The following information was addressed child endangerment, signs and symptoms of meth use and making sure you are aware of your environment.	During September 2006 service coordinator fall conference a presentation focused on meth and other safety issues. This activity has been completed.
Collaborate with Department of Social Services and Department of Human Services on procedure for referring children to the Birth to Three Program	Birth to Three staff collaborated with the Department of Social Services on providing training to social workers and other interested professionals on the Child Abuse Prevention and Treatment Act (CAPTA) and the referral process for abused children.

	<p>This activity has been completed.</p>
<p>Review and update marketing materials and website for the Birth to Three program</p>	<p>The Birth to Three program has continued to update and market the program from July 1, 2012– June 30, 2013.</p> <p>This activity is on-going.</p>

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (July 1, 2012 – June 30, 2013):

No revisions necessary.

Part C State Annual Performance Report (APR) for FFY 2012 (July 1, 2012 – June 30, 2013)

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline) divided by the (# of eligible infants and toddlers evaluated and assessed for whom an initial IFSP was required to be conducted)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings, including the reasons for delays.

FFY	Measurable and Rigorous Target
2012 (2012-2013)	100% of eligible infants and toddlers will have an evaluation and assessment and an initial IFSP meeting within 45 days of referral.

Actual Target Data for FFY 2012 (July 1, 2012-June 30, 2013): Target Met

South Dakota is currently at 100% for this indicator. The data are valid and reliable. With the Birth to Three - software system, state staff can generate a report which shows all children on active IFSPs to analyze whether the 45-day timeline was met.

This was the same as performance in FFY 2011 of 100%.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (July 1, 2012 – June 30, 2013):

Birth to Three program contracts with nine regional programs to provide service coordination. The state staff monitors each of the regional programs on a 3-year cycle. State staff reviews files specifically to determine the 45 day timeline was met.

Data for FFY 2012 (July 1, 2012-June 30, 2013) was determined through onsite and/or desk audits for Southeast Birth to Three, Northwest Birth to Three, and SD Cares Birth to Three. All three met the target of 100% in completing initial IFSPs within the 45 day timeline from the initial referral. Thirty percent (30%) of each program’s active files were reviewed as follows:

Local Program	Monitoring	Number of Files Reviewed	# of IFSPs in Compliance with 45 Day Timeline	Findings on Non-compliance
Southeast Area Birth to Three	Fall 2012	46	46	-0-
Northwest Birth to Three	Fall 2012	19	19	-0-
South Dakota CARES Birth to Three	Spring 2013	42	42	-0-

All files reviewed were in compliance with the 45-day timeline.

In addition, the state analyzes all data to determine that all children on active IFSPs are in compliance with the 45-day timeline and implements improvement plans by service coordinators, if necessary. The Birth to Three data is valid and reliable because the state staff reviews all data information before final entry into the data software system.

SPP ACTIVITIES FOR INDICATOR 7	PROGRESS IMPROVEMENT ACTIVITIES JULY 1, 2012 JUNE 30, 2013
Revision of page 1 of the IFSP to include referral date and instructions for completion	In September 2005, the state office revised page 1 of the IFSP and added the data piece to the software system. This activity has been completed.
Generate a list of new IFSPs from July 1, 2007 to capture the date of referral via service coordinator feedback	During this time reports were generated to drill down on why the 45 time frame was exceeded. The state office has been in contact with service coordinators to ensure compliance. This activity has been completed.
Training of service coordinators and technical assistance regarding the addition of the referral date to the IFSP	If the timeline was over the 45 days immediate technical assistance was provided as needed based on every initial IFSP. This activity has been completed for this reporting period.
Program referral date into data system	The data system was revised and a report can be generated at any point. This activity has been completed.
Change monitoring system to use the	The data system was revised and a report can be

<p>additional element (referral date) for purposes of data verification and monitoring of the 45 day requirement.</p>	<p>generated at any point.</p> <p>This activity has been completed.</p>
<p>Conduct an annual desk audit of the 45 day timeline as a part of the state monitoring system.</p>	<p>The updated data system allows the state to monitor all initial IFSPs for the 45 day time line requirement at the time of submission.</p> <p>This activity has been completed for this reporting period.</p>
<p>Birth to Three will monitor programs for compliance with this indicator. When noncompliance is identified, state Birth to Three staff will work with program to determine nature of noncompliance, develop and implement Improvement Plan or Corrections Action.</p>	<p>Southeast Birth to Three program had no compliance issues with the 45 day timeline.</p> <p>Northwest Birth to Three program had no compliance issues with the 45 day timeline.</p> <p>SD Cares Birth to Three had no compliance issues with the 45 day timeline.</p> <p>This activity has been completed.</p>

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (July 1, 2012 – June 30, 2013):

No revisions necessary.

Part C State Annual Performance Report (APR) for FFY 2012 (July 1, 2012 – June 30, 2013):

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8: Percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler’s third birthday.

- B. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler’s third birthday for potentially eligible Part B preschool services. (Transition Notification)

- C. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the lead agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler’s third birthday for toddlers potentially eligible for Part B preschool services

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = [(# of toddlers with disabilities exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties not more than nine months, prior to their third birthday) divided by the (# of toddlers with disabilities exiting Part C)] times 100.

- B. Percent = [(# of toddlers with disabilities exiting part C where notification (consistent with any opt-out policy adopted by the State) to the SEA and the LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services) divided by the (# of toddlers with disabilities who were potentially eligible for Part B)] times 100.

- C. Percent = [(# of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties not more than nine months, prior to the toddler’s third birthday for toddlers potentially eligible for Part B) divided by the (# of toddlers with disabilities exiting Part C who were potentially eligible for Part B)] times 100.

Account for untimely transition planning under 8A, 8B, and 8C, including the reasons for delays.

FFY	Measurable and Rigorous Target		
2012 (2012-2013)	A. 100%	B. 100	C. 100%

Actual Target Data for FFY 2012 (July 1, 2012 – June 30, 2013): Target Met

FFY2012 South Dakota reviewed 107 files for indicators A, B and C. The state determined target of 100% was met for indicator A, B and C.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (July 1, 2012 – June 30, 2013):

The Birth to 3 Three program monitors regional programs on a 3-year cycle. During FFY 2012 Southeast Area Birth to Three, Northwest Birth to Three, and South Dakota CARES Birth to Three programs were monitored. Monitoring consists of conducting onsite and/or desk audits which includes reviewing 30% of randomly selected files from the 2012-2013 data. State staff checks for appropriate transition steps which were taken prior to age 3 on the IFSP; a transition notification to the SEA and referral to the local LEA for evaluation and the date the referral was made; and documentation of the lead agency conducting the transition conference with family approval, held at least 90 days prior to the toddler’s third birthday; and documentation of timely transition conference.

It was determined that all files reviewed were in 100% compliance in the three categories as shown in the following table:

Local Program	Monitoring	Number of Files Reviewed	A. IFSPs with transition steps and services	B. Notification to SEA and Local LEA, if child potentially eligible for Part B	C. Timely transition conference, if child potentially eligible for Part B
Southeast Area Birth to Three	Fall 2012	46	100%	100%	100%
Northwest Birth to Three	Fall 2012	19	100%	100%	100%
SD Cares Birth to Three	Spring 2013	42	100%	100%	100%

The lead agency Part C staff has placed increased focus on the transition planning conferences with all service coordinators and early intervention providers. The lead agency staff have emphasized that transition planning is part of the IFSP process at every review and beginning when a child is 27 months old, with parent agreement, the Part B school district representatives are to be invited to reviews for the express purpose of transition planning. It is encouraged that the transition meeting for a child nearing age 3 be combined with the regularly scheduled 6 month IFSP review in order to save meeting time for parents, school district representatives and early intervention providers. The federal regulations for Part C and Part B have helped with the enforcement of timelines and having the appropriate people at the transition planning conferences.

The Birth to program data is valid and reliable because the state staff reviews all child record data information before final entry into the data software system.

SPP ACTIVITIES FOR INDICATOR 8	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2012- JUNE 30, 2013
Revise the IFSP to incorporate additional transition planning.	The IFSP was revised the fall 2005. The Birth to Three software system was updated to incorporate

	<p>the transition planning information.</p> <p>In 2007, an update was added to the software system to capture the data for documented family or other circumstances outside of the lead agency's control.</p> <p>This activity has been completed.</p>
<p>Train service coordinators regarding the use of the updated IFSP transition and review pages.</p>	<p>Training provided at the annual Service Coordinators Conference.</p> <p>This activity has been completed.</p>
<p>Continue practice of reviewing transition documentation on each IFSP.</p>	<p>Ongoing review of IFSPs.</p> <p>This activity has been completed for this reporting period.</p>
<p>Continue technical assistance to service coordinators.</p>	<p>Ongoing technical assistance with service coordinators was provided.*</p> <p>This activity is on-going.</p>
<p>Collaborate with the 619 Coordinator to improve transition for children and families.</p>	<p>Ongoing collaboration with the 619 Coordinator was provided as needed.*</p> <p>This activity is on-going.</p>
<p>Collaborate with the 619 Coordinator to identify areas, districts and providers that need state technical assistance and/or training on transition.</p>	<p>Ongoing collaboration with the 619 Coordinator was provided as needed.*</p> <p>This activity is on-going.</p>

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (July 1, 2012 – June 30, 2013):

No revisions necessary

*Collaboration with the 619 Coordinator includes a report which is generated by the Birth to Three data system. The report provides a list of all children who transitioned to Part B. The report requires an explanation for each child who was eligible for Part B but did not go into the program. The 619 Coordinator and Part B staff then uses the report to contact those parents to follow up and to determine whether the parents want Part B services.

Part C State Annual Performance Report (APR) for FFY 2012 (July 1, 2012 – June 30, 2013)

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

States are required to use the “Indicator C9 Worksheet” to report data for this indicator (see Attachment 1).

FFY	Measurable and Rigorous Target
2012 (2012-2013)	100% of general supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

Actual Target Data for FFY 2012 (July 1, 2012 – June 30, 2013): Target Met.

The state met the target for this indicator. Three regional programs were monitored in FFY 2012: Southeast Area Birth to Three; Northwest Birth to Three; and South Dakota CARES Birth to Three. There were no findings of noncompliance as reported on the following C-9 Worksheet.

INDICATOR C-9 WORKSHEET

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2012 (7/1/12 through 6/30/13)	(a) # of Findings of noncompliance identified in FFY 2012 (7/1/12 through 6/30/13)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	N/A
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	N/A
3. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	N/A
4. Percent of families participating in Part C who report that early intervention services have helped the family	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	N/A

APR Template – Part C (4)

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2012 (7/1/12 through 6/30/13)	(a) # of Findings of noncompliance identified in FFY 2012 (7/1/12 through 6/30/13)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
5. Percent of infants and toddlers birth to 1 with IFSPs	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
6. Percent of infants and toddlers birth to 3 with IFSPs		Dispute Resolution: Complaints, Hearings	0	0
7. Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
		Dispute Resolution: Complaints, Hearings	0	0
8. Percent of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;		Dispute Resolution: Complaints, Hearings	0	0

APR Template – Part C (4)

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2012 (7/1/12 through 6/30/13)	(a) # of Findings of noncompliance identified in FFY 2012 (7/1/12 through 6/30/13)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
8. Percent of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and	Dispute Resolution: Complaints, Hearings	0	0	N/A
8. Percent of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.	Dispute Resolution: Complaints, Hearings	0	0	N/A

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2012 (7/1/12 through 6/30/13)	(a) # of Findings of noncompliance identified in FFY 2012 (7/1/12 through 6/30/13)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
OTHER AREAS OF NONCOMPLIANCE: A finding of inadequate documentation on screening results form	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	N/A
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	N/A
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	N/A
	Dispute Resolution: Complaints, Hearings	0	0	N/A
Sum the numbers down Column a and Column b			0	0

Percent of noncompliance corrected within one year of identification = 100%
(column (b) sum divided by column (a) sum) times 100

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (July 1, 2012 – June 30, 2013):

There were no findings of non-compliance to report for FFY2012. The state provided on-going training and technical assistance to the service coordinators on appropriate planning and scheduling of all required activities.

The state Birth to Three program uses regulations from Part C of the Individual with Disabilities Education Act (IDEA) to monitor regional programs. The following is an overview of the components of the State's general supervision system:

1. Collaborating with agencies, institutions, organizations and Interagency Coordinating Council used in the state to carry out the Birth to Three program;
2. Providing technical assistance, if necessary, to those agencies, institutions, organizations and Interagency Coordinating Councils;
3. Staff certification and licensure are reviewed by the service coordinators and state staff.
4. Parent surveys are given to all parents that were involved in the early intervention program over the past year. The responses are discussed at the state and local level and decisions are made as to what action/if any needs to be taken.
5. Each IFSP and provider reimbursement request, submitted via an online system, is reviewed by the Birth to Three state office staff to assure that state and federal regulations and guidelines are met before information is imported into the state data system.
6. Regional programs are reviewed every three years by the State. Monitoring is completed for all 13 regional areas on a three-year-cycle. This process includes early intervention record review of 30% of the files randomly selected and a review of parent survey data collected from a survey sent from the state office to families that receive early intervention services. The results of the monitoring process are compiled into a final report. If a finding is made and a corrective action plan is warranted, the regional Birth to Three program is given required timelines for verification of correction. Technical assistance is provided to the applicant areas to ensure closures of corrective action plan.
7. Early intervention providers sign a provider agreement to abide by all Federal and State laws and regulations which include requirements related to serving children in natural environments.
8. South Dakota Part C has a software/billing system which provides data for the state Birth to Three program to meet the OSEP federal requirements. Data are entered from each IFSP, approved provider services, parent survey, exiting data, etc., on each child and provider within the Part C system. All completed IFSPs (initial and reviewed) are submitted to the state office by the service coordinator via the state Birth to Three online data system within 30 days of the IFSP meeting. State staff reviews the online IFSP to verify accuracy and completeness. State staff follow-up with the service coordinator if inaccuracies are found. In addition, the data system includes edit checks as part of the data verification process. If the edit checks identify errors, corrections are made before the data is imported into the state data system.
9. Upon completion of this process, data are imported into the state Birth to Three data system. In order to ensure correction of all noncompliance if a regional program has received a finding, the following occurs: a) State monitoring team identifies areas of noncompliance to ensure consistency with the requirement of Individual with Disabilities Education Act. b) State identifies steps and required evidence of correction of the noncompliance by the regional applicant area; c) Regional applicant area submits activities they will use to correct noncompliance. d) Regional applicant area update progress reports which show evidence the noncompliance issues are being corrected; e) State monitors the corrective action plan quarterly to ensure the correction of noncompliance is completed within one year of the identification of the noncompliance. Verification of correction is made in accordance with the required 2 prongs of correction identified in OSEP 09-02.

10. The lead agency (Department of Education), Birth to Three program has divided the state into thirteen regions which include 66 counties. Every three years a Request for Proposal (RFP) to provide service coordination is advertised to the public and interested organizations. If a three year contract is approved for a local Birth to Three program, the program is required to submit a budget every year for approval during the three year contract period. Applications are reviewed and approved by the state office. Quarterly progress and expenditure reports are required.
11. Regional service coordination contract budgets are reviewed and approved annually. The state office Birth to Three program makes decisions based on an applicant’s adherence to requirements.
12. The Birth to Three state office incorporates any findings from all dispute resolution processes into the general supervision of the program.
13. The Birth to Three state office maintains a website at <http://doe.sd.gov/oess/Birthto3.aspx> for awareness and reporting information to the public.

Should there be a finding; the state has a process in place that requires verification of correction to be completed no later than one year from the date of correction according to both prongs of correction under OSEP 09-02. If a regional program does not meet the corrective action plan within one year, the state evaluates the effectiveness of the various incentives and/or sanctions. Programs which would be delinquent in meeting corrective action timelines are notified by letter. The content of the letter would include the following information:

1. Failure to voluntarily correct an identified deficiency constitutes a failure to administer the program in compliance with federal law.
2. The action the Division of Educational Services and Support (DESS) intends to take in order to enforce compliance with the state and federal law;
3. The right to a hearing prior to DESS exercise of its enforcement responsibility; and
4. The consequence of the DESS enforcement action on continued and future state and federal funding.

U.S. Office of Special Education Programs (OSEP) stated in a follow up letter, dated January 31, 2012, relative to the September 12-16, 2011 Continuous Improvement Visit, “Based on the review documents, and interviews with State and local personnel, OSEP concludes that the State’s systems for general supervision are reasonably designed to identify noncompliance in a timely manner.”

SPP ACTIVITIES FOR INDICATOR 9	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2012 JUNE 30, 2013
Continue to monitor applicant areas every three years	State office monitored three local programs in 2012-2013. In 2007 an update was added to the data system that monitors timely transition meetings and reports exceptional family or other circumstances outside of the lead agency’s control. This activity has been completed.
Change monitoring system to use the additional element (referral date) for purposes of data	The updated data system allows the state to monitor all initial IFSPs for the 45 day time line requirement at the

APR Template – Part C (4)

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State

<p>verification and monitoring of the 45 day requirement and timely services.</p>	<p>time of submission.</p> <p>In addition, the data system monitors for timely services within 30 days and documents exceptional family circumstance outside of the lead agency’s control.</p> <p>This activity is on-going.</p>
<p>Conduct a desk audit of the 45-day timeline as a part of the state onsite monitoring system</p>	<p>When monitoring the local programs a report is generated to know whether the local program is meeting the compliance issue with this indicator.</p> <p>This activity has been completed for this reporting period.</p>
<p>TA and training for service coordinators and providers as needed throughout the year</p>	<p>The state office gives immediate TA to local service coordinators, providers, and schools as needed.</p> <p>This activity is on-going.</p>
<p>Review current system of sanctions and incentives including technical assistance</p>	<p>The state office received a letter from OSEP in January 2012, which stated OSEP concluded the State’s systems for general supervision are reasonably designed to identify noncompliance in a timely manner.</p> <p>This activity has been completed.</p>
<p>Revise sanctions and incentives and making necessary revisions</p>	<p>Policies and procedures were reviewed by OSEP. The state office received a letter from OSEP in January 2012, which stated OSEP concluded the State’s systems for general supervision are reasonably designed to identify noncompliance in a timely manner</p> <p>This activity has been completed.</p>
<p>Make changes to contract language as needed.</p>	<p>Contracts include language which was added to cover the 14 SPP - Indicators.</p> <p>The provider agreement language covers Indicator # 1 regarding timely service.</p> <p>This activity has been completed.</p>

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (July 1, 2012 – June 30, 2013):

No revisions necessary.

Part C State Annual Performance Report (APR) for FFY2012 (July 1, 2012 – June 30, 2013)

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = (3.1(a) divided by 3.1) times 100.

FFY	Measurable and Rigorous Target
2012 (2012-2013)	<i>84% of hearing requests will be resolved through resolution session settlement agreement.</i>

Actual Target Data for FFY 2012 (July 1, 2012 – June 30, 2013):

There were no hearing requests and therefore no resolution sessions held in 2012-2013.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (July 1, 2012 – June 30, 2013):

Not required to provide improvement activities as there were no requests in FFY 2012.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (July 1, 2012 – June 30, 2013):

No revisions necessary.

Part C State Annual Performance Report (APR) for FFY 2012 (July 1, 2012 – Jun30, 2013):

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 13: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.

FFY	Measurable and Rigorous Target
2012 (2012-2013)	No target necessary when state has less than 10 mediations

Actual Target Data for FFY 2012 (July 1, 2012 – June 30, 2013):

South Dakota has had no disputes, therefore no mediations during this time period.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (July 1, 2012 – June 30, 2013):

SPP ACTIVITIES FOR INDICATOR 13	PROGRESS IMPROVEMENT ACTIVITES JULY 2012- JUNE 30, 2013
South Dakota tracks mediations to ensure timelines and procedures are followed.	Ongoing. To date as of June 30, 2013 no mediations have occurred. This activity is on-going.
Revise Part C Parents' Rights Booklet	This activity has been completed.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (July 1, 2012 – June 30, 2013):

No revisions necessary.

Part C State Annual Performance Report (APR) for FFY 2012 (July 1, 2012 – June 30, 2013):

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 14: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: State reported data, including 618 data, State performance plan, and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and
- b. Accurate, including covering the correct year and following the correct measurement.

As stated in the Indicator Measurement Table, States may, but are not required, to report data for this indicator. OSEP will use the Indicator 14 Rubric to calculate the State’s data for this indicator. States will have an opportunity to review and respond to OSEP’s calculation of the State’s data.

FFY	Measurable and Rigorous Target
<i>(Insert FFY)</i>	<i>(Insert Measurable and Rigorous Target.)</i>

Actual Target Data for *(Insert FFY):*

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for *(Insert FFY):*

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for *(Insert FFY)*
[If applicable]