

SD Part C

FFY2015 State Performance Plan / Annual Performance Report

Executive Summary:

The Individuals with Disabilities Education Act (IDEA) Part C is known as the Birth to Three program in South Dakota and is housed within the Department of Education. The Birth to Three program has contracts with 8 regional Birth to Three programs throughout the state. These regional programs provide the service coordination for 66 counties in South Dakota. South Dakota Birth to Three has a strong partnership with school districts as all evaluations for Birth to Three are conducted by school district personnel. This creates a link for family engagement and communication between families, Birth to Three and the child's resident school district.

South Dakota Birth to Three utilizes an online data system in which Individualized Family Service Plans are entered. This secure system allows for real time information for providers, service coordinators and state staff. Through this system South Dakota is able to verify that regional programs and providers are consistently achieving high levels of compliance with IDEA requirements.

The Department, based on the 2016 Results-Driven Accountability determined South Dakota Birth to Three needs assistance in meeting the requirements of the Part C of IDEA. The determination is based on combined scoring of two components 1) Compliance and 2) Results for an overall score of 80% or greater.

In Compliance component, South Dakota received full points and scored 100%. In Results, states receive a score of zero, one or two in four scoring areas. South Dakota received four out of eight points, with no area receiving a zero, for a score of 50%. These two scores computed to an overall determination score of 75% and resulted in Needs Assistance determination.

In accordance with the Office of Special Education Programs (OSEP) Determination Letter received June 28, 2016, South Dakota must report:

- (1) the technical assistance sources from which the State received assistance; and
- (2) the actions the State took as a result of that technical assistance.

The technical assistance received and the actions took to improve performance can be found in Indicator C3 of this report.

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General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

The South Dakota Birth to Three program policies and procedures are based on the federal regulations for Part C of the Individuals with Disabilities Education Act (IDEA) at 34 CFR Part 303 and state rules at Article 24:14. The following is an overview of the State's general supervision system:

1. Infrastructure

- a. The lead agency is the Department of Education. The Birth to Three program has divided the state into eight regions which include 66 counties.
- b. Every three years, the Birth to Three program puts forth a Request for Proposal (RFP) to provide service coordination. This RFP is advertised to the public and interested organizations. Upon approval, one year contracts are approved with recipients submitting financial and budgetary information through quarterly progress reports.
- c. Each early intervention provider is required to submit certification, licensure, and background checks to ensure they meet the state's qualified standards. These documents are reviewed by Birth to Three state staff.
- d. Early intervention providers sign a provider agreement to abide by all federal and state laws and regulations which include requirements related to serving children in natural environments.
- e. In addition, the state Birth to Three office provides oversight to school district programs providing Birth to Three services to children who meet specific eligibility requirements.
- f. In the summer of 2015, in conjunction with the SSIP Phase II, South Dakota restructured the Birth to Three program state leadership team. In order to better meet the needs of the Birth to Three program and support the systemic changes of the SSIP, a

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

team member was designated to provide statewide technical assistance, a team member was devoted to data analysis and data quality, and another team member to the professional development associated with the evidence-based practices and the training that will be ongoing. Each program specialist is, however, cross-trained for each area to ensure full assistance to Birth to Three partners.

2. Data System

- a. The State Birth to Three program has an online data system that includes data on programmatic and demographic elements and includes all children's IFSPs. The system also facilitates the billing process for early intervention services.
- b. The billing system allows early intervention providers to only bill for what was written by the IFSP team in regards to frequency/intensity/location of early intervention services.
- c. Each provider reimbursement request, submitted via the online system, is reviewed by Birth to Three state office staff to ensure state and federal regulations and guidelines are met before payment is approved.
- d. All provider reimbursement requests are linked to IFSPs. Providers are unable to bill for services that are not linked to an IFSP.
- e. The State Birth to Three online IFSP data system also allows service coordinators to view reports relating to child count verification and SPP/APR indicators. There are several reports that serve as edit checks in order to assist service coordinators in ensuring the data they enter are valid and reliable.

3. Monitoring

- a. The Birth to Three state office conducts ongoing monitoring activities on all programs and services. The eight regional programs are held responsible for implementing the Birth to Three program consistent with federal and state requirements. The state data system is the primary source of monitoring data. State staff are able to review compliance and reports on most SPP/APR indicators through the data system. In some instances, state staff conduct additional drill-down and inquiry to obtain information on reasons for potential delay or other factors important to consider in monitoring for requirements.

Noncompliance identified may result in a finding of noncompliance. The state then works with the entity to ensure and verify correction of the noncompliance according to the two federal requirement prongs of correction (OSEP 09-02).

In some instances, based on data slippage, parent information, past data reports etc., an onsite focused monitoring by Birth to Three state staff occurs. Focused monitoring involves reviewing specific children's files, interviewing service coordinators, early intervention providers, parents, etc. Findings resulting from the focused monitoring are issued as necessary. A corrective action plan for compliance issues or an improvement plan for data slippage is developed involving the regional service coordinators and others (eg. early intervention providers, school districts, etc). State Birth to Three staff approve the corrective action plan or improvement plan and provide technical assistance, assuring all improvement activities are completed in accordance with federal requirements. Verification of correction of any noncompliance is made in accordance with the required 2 prongs of correction in OSEP 09-02.

If a regional program does not meet the corrective action plan within one year, the state uses the additional incentives and/or sanctions as identified in writing to the agency. The content of the letter would include the following information:

- a. Failure to voluntarily correct an identified deficiency constitutes a failure to administer the program in compliance with federal law.
- b. The action the Division of Educational Services and Support (DESS) intends to take in order to enforce compliance with the state and federal law.
- c. The right to a hearing prior to DESS exercise of its enforcement responsibility; and
- d. The consequences of the DESS enforcement action on continued and future state and federal funding.

4. Dispute Resolution

Public and parent concerns may be submitted to the state office at any time. Program contact information and 1-800 number is available on the Birth to Three website and public awareness materials. Dispute resolution processes consistent with federal and state regulation are available including: state administrative complaint resolution, due process hearing, mediation and resolution.

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Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

The South Dakota Birth to Three program provides ongoing comprehensive technical assistance (TA) that includes:

- The provision of specific technical assistance to programs by the program or regional service coordinator grantees.
- Scheduled service coordinator calls are offered to provide TA on specific topics including improvement strategies for data quality, SPP/APR indicator training, child outcomes, outreach with other state partners and collaboration with family/community support entities,
- State staff are available and provide daily real-time TA via telephone calls and emails and onsite visits as requested.
- Technology is used to provide ongoing support as well. This includes a state listserv which is used to send information to service coordinators, school districts, SICC members and early intervention providers statewide. The listserv is used to provide pertinent program information about policy and procedure updates, rules and regulations, program needs/shortages, and training opportunities.
- Regional quarterly submission of service coordinator professional development activities and case load data with TA response as needed.
- Service coordinator contact information is shared among all state Birth to Three personnel, giving ease of access among providers and coordinators to share best practices and collaborate on issues.

The state staff have developed and provided regional staff a self-monitoring checklist that covers the SPP/APR indicators and federal/state rules and regulations. This is recommended to be used by regional staff to determine the status of their implementation of Part C requirements to guide their on-going supervision and continuous improvement. Regional programs can request technical assistance from state staff as needed to address any issues identified.

The state team also uses the results of the annual APR performance including the results from the annual parent surveys to help plan technical assistance activities.

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Professional Development System:

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

The South Dakota Birth to Three program's Professional Development system has a number of components including:

1. All providers who work in the program must meet qualified personnel standards as required by federal and state regulations.
2. All new service coordinators receive several days of one-on-one trainings along with comprehensive online module training on evidence-based practice.
3. All new service providers receive one-on-one reimbursement training.
4. An annual face to face training is held for all Birth to Three service coordinators.
5. Monthly service coordinator calls are held with Birth to Three state staff and include updates on policies and procedures, and presentations on relevant topics by Parent Connection (State PTI) and other state agency partners. Topics have included parent rights, hearing services, vision services, outcome writing, state and federal rules, interpreter services, etc.
6. Statewide and regional public trainings are offered on topics such as early literacy, family engagement, evidence based practices, early childhood guidelines and a Birth to Three program overview. These trainings are open to service coordinators and direct service providers.
7. Periodic training events are also held as needed for service providers related to use of private insurance, Medicaid reimbursement, and tele-therapy.
8. During FFY2015 the Birth to Three program developed and implemented a new online platform to support the ongoing professional development needs of service coordinators and direct service providers. This comprehensive learning opportunity provides a support system and promotes participation in ongoing professional development regardless of physical location. Within this online tool, modules have been developed to meet the specific needs of the early interventionist in implementing identified evidence-based practices and measuring child and family outcomes. Using this platform, the South Dakota Birth to Three program is building a continuum of learning opportunities for our early interventionists regardless of their role in the Birth to Three program.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Established as a private learning community, participants can also access research, a video library, discussion boards and blogs. Resources are available for new and seasoned early interventionists. This online tool is facilitated by Birth to Three state professional development staff.

While the online platform was first intended to provide cost-effective training opportunities for the SSIP work, state staff have quickly learned the value of this format for training of new service coordinators and direct service providers along with refreshers for veteran partners. It has also shown a reliable tool to present current and accurate information to all early interventionists.

9. Periodic training opportunities provided in collaboration with other state and community agencies including the Center for Disabilities, Part B, Parent Connection, Head Start, Medicaid, MIECHV, Child Care Services and Human Services.

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Stakeholder Involvement: apply this to all Part C results indicators

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

The South Dakota Part C Birth to Three program has a strong relationship with the State Interagency Coordinating Council. Through quarterly meetings, members are kept abreast of program development and data trends. The State Interagency Coordinating Council (SICC) was heavily involved in the planning and writing the 5-year Birth to Three SPP/APR plan. This was through regularly scheduled SICC meetings as well as other communications.

In January 2017, the SICC convened to review FFY2015 data in relation to targets. SICC members reviewed and analyzed state and regional data with special consideration of data quality, trends, national data and other state data sources. SICC members discussed and considered facts specific to South Dakota including but not limited to critical shortage of providers, population sparsity in rural geographic locations leading to limited resources, Birth to Three program growth and financial implication. It was decided that targets would remain the same with no adjustments.

To ensure a broad overview of the state early intervention and demographics, SICC members represent a wide variety of programs and agencies such as Early Head Start, the Division of Insurance, early intervention providers, parents, South Dakota's Parent Training and Information Center (PTI) Parent Connection, South Dakota Department of Health, Black Hills State University Personnel Preparation, South Dakota Medical Service/Medicaid, South Dakota Office of Coordination of Homeless Children, South Dakota Foster Care/Child Protection Services/Auxiliary Placement, South Dakota Department of Human Services, South Dakota Child Care Services, Birth to Three regional program contractors, South Dakota education cooperative, Part B, Part B 619, school district special education administration, Tribal Head Start, South Dakota State Legislator and Part C staff. The diversity of membership results in valuable discussion of resources, challenges, initiatives and recommendations.

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A final copy of the SPP/APR is provided to the Secretary of Education who is a member of the Governor's cabinet. A copy is also provided to the Governor's office.

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Reporting to the Public:

How and where the State reported to the public on the FFY 2014 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2014 APR, as required by 34 CFR §303.702(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2014 APR in 2016, is available.

The South Dakota Birth to Three State Performance Plan/Annual Performance Report (SPP/APR) is located on the state's Department of Education website at <http://doe.sd.gov/oess/Birthto3.aspx>. Program APRs from the last several years are also posted on this site.

The South Dakota Birth to Three program annually reports to the public on performance of each region for indicators C-1 to C-8 as

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

compared to state performance. These regional program reports are located on the Birth to Three website at <http://doe.sd.gov/oess/Birthto3.aspx>.

Public Notices are also posted in the five (5) major South Dakota newspapers notifying the public of the website <http://doe.sd.gov/oess/Birthto3.aspx>, where the State Performance Plan/Annual Performance Report (SPP/APR) and regional reports can be accessed and availability of hard copies of the reports upon request. Newspapers printing the public notices are as follows: Sioux Falls Argus Leader; Aberdeen American News; Huron Plainsman; Pierre Capitol Journal; and Rapid City Journal.

Notification is also sent to the SICCC and Stakeholders, all regional Birth to Three programs, service coordinators, and providers of the availability of these reports on the Birth to Three website <http://doe.sd.gov/oess/Birthto3.aspx> and the availability of hard copies upon request.

South Dakota Parent Connection also announces the publication of these reports in their newsletters "weConnect" and "Circuit," for parents.

Attachments

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Actions required in FFY 2014 response

OSEP Response

The State's determinations for both 2015 and 2016 were Needs Assistance. Pursuant to section 616(e)(1) of the IDEA and 34 C.F.R. § 300.604(a), OSEP's June 28, 2016 determination letter informed the State that it must report with its FFY 2015 SPP/APR submission, due February 1, 2017, on: (1) the technical assistance sources from which the State received assistance; and (2) the actions the State took as a result of that technical assistance. The State provided the required information.

Required Actions

The State's IDEA Part C determination for both 2016 and 2017 is Needs Assistance. In the State's 2017 determination letter, the Department advised the State of available sources of technical assistance, including OSEP-funded technical assistance centers, and required the State to work with appropriate entities. The Department directed the State to determine the results elements and/or compliance indicators, and improvement strategies, on which it will focus its use of available technical assistance, in order to improve its performance. The State must report, with its FFY 2016 SPP/APR submission, due February 1, 2018, on: (1) the technical assistance sources from which the State received assistance; and (2) the actions the State took as a result of that technical assistance.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 1: Timely provision of services

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
375	386	100%	100%	100%

Number of documented delays attributable to exceptional family circumstances

This number will be added to the "Number of infants and toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner" field above to calculate the numerator for this indicator.

11

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

For indicator C1, one quarter of the fiscal year was used to determine compliance with the indicator. The State selected the third quarter of FFY2015 (January 1, 2016 through March 31, 2016).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

For indicator C1, one quarter of the fiscal year was used to determine compliance with the indicator. The State selected the third quarter of FFY2015 (January 1, 2016 through March 31, 2016). This poll is considered representative of the full reporting year because the same variables are in place for this quarter of the fiscal year as in all quarters. The South Dakota Birth to Three program is confident that the chosen reporting period accurately reflects data for infants and toddlers with IFSPs for FFY2015.

Actions required in FFY 2014 response

none

Note: Any actions required in last year's response that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2014

7/24/2017

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2015-June 30, 2016). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 2: Services in Natural Environments**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			96.60%	96.90%	97.20%	97.50%	97.80%	97.80%	97.80%	96.80%	96.80%
Data		96.80%	98.00%	100%	100%	100%	100%	100%	100%	98.96%	99.92%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥	96.80%	96.80%	96.80%	97.00%

Key:

Targets: Description of Stakeholder Input

The South Dakota Part C Birth to Three program has a strong relationship with the State Interagency Coordinating Council. Through quarterly meetings, members are kept abreast of program development and data trends. The State Interagency Coordinating Council (SICC) was heavily involved in the planning and writing the 5-year Birth to Three SPP/APR plan. This was through regularly scheduled SICC meetings as well as other communications.

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A final copy of the SPP/APR is provided to the Secretary of Education who is a member of the Governor's cabinet. A copy is also provided to the Governor's office.

The SPP/APR was developed by the Part C Birth to Three state staff with input from stakeholders and assistance from the Early Childhood Technical Assistance Center (ECTA), the Center for IDEA Early Childhood Data Systems (DaSy) and a private consultant.

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	1,172	
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	Total number of infants and toddlers with IFSPs	1,174	

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
FFY 2015 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
1,172	1,174	99.92%	96.80%	99.83%

Actions required in FFY 2014 response

none

OSEP Response

Required Actions

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 3: Early Childhood Outcomes

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Does your State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? **No**

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A1	2013	Target ≥						44.90%	45.00%	45.00%	45.00%	50.48%	50.48%
		Data					53.80%	44.90%	38.40%	48.90%	48.90%	50.48%	51.39%
A2	2013	Target ≥						81.30%	81.40%	81.40%	81.40%	85.37%	85.37%
		Data					85.40%	81.30%	80.20%	84.10%	85.10%	85.37%	84.89%
B1	2013	Target ≥						49.30%	49.40%	49.40%	49.40%	58.82%	58.82%
		Data					59.40%	49.30%	47.40%	48.60%	57.90%	58.82%	54.97%
B2	2013	Target ≥						65.30%	65.40%	65.40%	65.40%	69.51%	69.51%
		Data					72.60%	65.30%	65.00%	65.00%	68.60%	69.51%	67.49%
C1	2013	Target ≥						64.90%	65.00%	65.00%	65.00%	57.26%	57.26%
		Data					55.30%	64.90%	68.00%	67.60%	60.90%	57.26%	56.74%
C2	2013	Target ≥						90.00%	90.10%	90.10%	90.10%	84.63%	84.63%
		Data					91.10%	90.00%	91.20%	91.70%	83.70%	84.63%	87.35%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target A1 ≥	50.48%	50.48%	50.48%	51.00%
Target A2 ≥	85.37%	85.37%	85.37%	85.50%
Target B1 ≥	58.82%	58.82%	58.82%	60.00%
Target B2 ≥	69.51%	69.51%	69.51%	70.00%
Target C1 ≥	57.26%	57.26%	57.26%	57.76%
Target C2 ≥	84.63%	84.63%	84.63%	85.00%

Key:

Targets: Description of Stakeholder Input

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FFY 2015 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	687.00
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Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	20.00	2.91%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	111.00	16.16%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	17.00	2.47%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	57.00	8.30%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	482.00	70.16%

	Numerator	Denominator	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	74.00	205.00	51.39%	50.48%	36.10%
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	539.00	687.00	84.89%	85.37%	78.46%

Explanation of A1 Slippage

South Dakota continues to focus on the quality of Indicator C3 in accurately measuring child outcomes. During Phase I of the SSIP, Stakeholders identified Data Quality as one of four main action strands in the State's Theory of Action. Stakeholders recognized there may be a lack of consistency in the use of the BDI-2 evaluation tool across evaluators and the need for continuous training for new staff and to update skills of experienced staff.

In collaboration with South Dakota Part B 619, and BDI-2 publisher, Riverside, South Dakota developed a training program for BDI-2 evaluators. This training includes face-to-face as well as virtual training for both veteran and experienced evaluators and will eventually be offered to all South Dakota BDI-2 evaluators. The first face-to-face training took place in the fall of 2016 with Riverside Publishing providing the trainers and the content. Virtual, follow-up trainings will take place in the spring of 2017. It is the goal of the Part B 619 and Part C that all BDI-2 evaluators will go through a training to increase their skills and proficiency when administering the BDI-2.

South Dakota also continues to analyze the existing Indicator C3 data. The state team recognized that category e is too high for Indicators A and C and contributed to the 2016 Needs Assistance determination. Working with national TA Center DaSy, the national BDI User Group moderated by ECTA and a private consultant the state team continues to evaluate the extent to which business rules may play a part in the child outcomes performance.

South Dakota Data Manager attended the Improving Data, Improving Outcomes conference in New Orleans, August 15, 16 and 17, 2016. At this conference the data manager worked with TA centers DaSy and ECTA and other states that use the BDI-2 as their child outcome tool to identify business rules which can more accurately depict child outcomes performance.

Explanation of A2 Slippage

South Dakota continues to focus on the quality of Indicator C3 in accurately measuring child outcomes. During Phase I of the SSIP, Stakeholders identified Data Quality as one of four main action strands in the State's Theory of Action. Stakeholders recognized there may be a lack of consistency in the use of the BDI-2 evaluation tool across evaluators and the need for continuous training for new staff and to update skills of experienced staff.

In collaboration with South Dakota Part B 619, and BDI-2 publisher, Riverside, South Dakota developed a training program for BDI-2

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

evaluators. This training includes face-to-face as well as virtual training for both veteran and experienced evaluators and will eventually be offered to all South Dakota BDI-2 evaluators. The first face-to-face training took place in the fall of 2016 with Riverside Publishing providing the trainers and the content. Virtual, follow-up trainings will take place in the spring of 2017. It is the goal of the Part B 619 and Part C that all BDI-2 evaluators will go through a training to increase their skills and proficiency when administering the BDI-2.

South Dakota also continues to analyze the existing Indicator C3 data. The state team recognized that category e is too high for Indicators A and C and contributed to the 2016 Needs Assistance determination. Working with national TA Center DaSy, the national BDI User Group moderated by ECTA and a private consultant the state team continues to evaluate the extent to which business rules may play a part in the child outcomes performance.

South Dakota Data Manager attended the Improving Data, Improving Outcomes conference in New Orleans, August 15, 16 and 17, 2016. At this conference the data manager worked with TA centers DaSy and ECTA and other states that use the BDI-2 as their child outcome tool to identify business rules which can more accurately depict child outcomes performance.

Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	26.00	3.78%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	169.00	24.60%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	52.00	7.57%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	143.00	20.82%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	297.00	43.23%

	Numerator	Denominator	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	195.00	390.00	54.97%	58.82%	50.00%
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	440.00	687.00	67.49%	69.51%	64.05%

Explanation of B1 Slippage

South Dakota continues to focus on the quality of Indicator C3 in accurately measuring child outcomes. During Phase I of the SSIP, Stakeholders identified Data Quality as one of four main action strands in the State's Theory of Action. Stakeholders recognized there may be a lack of consistency in the use of the BDI-2 evaluation tool across evaluators and the need for continuous training for new staff and to update skills of experienced staff.

In collaboration with South Dakota Part B 619, and BDI-2 publisher, Riverside, South Dakota developed a training program for BDI-2 evaluators. This training includes face-to-face as well as virtual training for both veteran and experienced evaluators and will eventually be offered to all South Dakota BDI-2 evaluators. The first face-to-face training took place in the fall of 2016 with Riverside Publishing providing the trainers and the content. Virtual, follow-up trainings will take place in the spring of 2017. It is the goal of the Part B 619 and Part C that all BDI-2 evaluators will go through a training to increase their skills and proficiency when administering the BDI-2.

South Dakota also continues to analyze the existing Indicator C3 data. The state team recognized that category e is too high for Indicators A and C and contributed to the 2016 Needs Assistance determination. Working with national TA Center DaSy, the national BDI User Group moderated by ECTA and a private consultant the state team continues to evaluate the extent to which business rules may play a part in the child outcomes performance.

South Dakota Data Manager attended the Improving Data, Improving Outcomes conference in New Orleans, August 15, 16 and 17, 2016. At this conference the data manager worked with TA centers DaSy and ECTA and other states that use the BDI-2 as their child outcome tool to identify business rules which can more accurately depict child outcomes performance.

Explanation of B2 Slippage

South Dakota continues to focus on the quality of Indicator C3 in accurately measuring child outcomes. During Phase I of the SSIP, Stakeholders identified Data Quality as one of four main action strands in the State's Theory of Action. Stakeholders recognized there may be a lack of consistency in the use of the BDI-2 evaluation tool across evaluators and the need for continuous training for new staff and to update skills of experienced staff.

In collaboration with South Dakota Part B 619, and BDI-2 publisher, Riverside, South Dakota developed a training program for BDI-2 evaluators. This training includes face-to-face as well as virtual training for both veteran and experienced evaluators and will eventually be offered to all South Dakota BDI-2 evaluators. The first face-to-face training took place in the fall of 2016 with Riverside Publishing providing the trainers and the content. Virtual, follow-up trainings will take place in the spring of 2017. It is the goal of the Part B 619 and Part C that all BDI-2 evaluators will go through a training to increase their skills and proficiency when administering the BDI-2.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

South Dakota also continues to analyze the existing Indicator C3 data. The state team recognized that category e is too high for Indicators A and C and contributed to the 2016 Needs Assistance determination. Working with national TA Center DaSy, the national BDI User Group moderated by ECTA and a private consultant the state team continues to evaluate the extent to which business rules may play a part in the child outcomes performance.

South Dakota Data Manager attended the Improving Data, Improving Outcomes conference in New Orleans, August 15, 16 and 17, 2016. At this conference the data manager worked with TA centers DaSy and ECTA and other states that use the BDI-2 as their child outcome tool to identify business rules which can more accurately depict child outcomes performance.

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	18.00	2.62%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	82.00	11.94%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	36.00	5.24%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	58.00	8.44%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	493.00	71.76%

	Numerator	Denominator	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	94.00	194.00	56.74%	57.26%	48.45%
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	551.00	687.00	87.35%	84.63%	80.20%

Explanation of C1 Slippage

South Dakota continues to focus on the quality of Indicator C3 in accurately measuring child outcomes. During Phase I of the SSIP, Stakeholders identified Data Quality as one of four main action strands in the State’s Theory of Action. Stakeholders recognized there may be a lack of consistency in the use of the BDI-2 evaluation tool across evaluators and the need for continuous training for new staff and to update skills of experienced staff.

In collaboration with South Dakota Part B 619, and BDI-2 publisher, Riverside, South Dakota developed a training program for BDI-2 evaluators. This training includes face-to-face as well as virtual training for both veteran and experienced evaluators and will eventually be offered to all South Dakota BDI-2 evaluators. The first face-to-face training took place in the fall of 2016 with Riverside Publishing providing the trainers and the content. Virtual, follow-up trainings will take place in the spring of 2017. It is the goal of the Part B 619 and Part C that all BDI-2 evaluators will go through a training to increase their skills and proficiency when administering the BDI-2.

South Dakota also continues to analyze the existing Indicator C3 data. The state team recognized that category e is too high for Indicators A and C and contributed to the 2016 Needs Assistance determination. Working with national TA Center DaSy, the national BDI User Group moderated by ECTA and a private consultant the state team continues to evaluate the extent to which business rules may play a part in the child outcomes performance.

South Dakota Data Manager attended the Improving Data, Improving Outcomes conference in New Orleans, August 15, 16 and 17, 2016. At this conference the data manager worked with TA centers DaSy and ECTA and other states that use the BDI-2 as their child outcome tool to identify business rules which can more accurately depict child outcomes performance.

Explanation of C2 Slippage

South Dakota continues to focus on the quality of Indicator C3 in accurately measuring child outcomes. During Phase I of the SSIP, Stakeholders identified Data Quality as one of four main action strands in the State’s Theory of Action. Stakeholders recognized there may be a lack of consistency in the use of the BDI-2 evaluation tool across evaluators and the need for continuous training for new staff and to update skills of experienced staff.

In collaboration with South Dakota Part B 619, and BDI-2 publisher, Riverside, South Dakota developed a training program for BDI-2 evaluators. This training includes face-to-face as well as virtual training for both veteran and experienced evaluators and will eventually be offered to all South Dakota BDI-2 evaluators. The first face-to-face training took place in the fall of 2016 with Riverside Publishing providing the trainers and the content. Virtual, follow-up trainings will take place in the spring of 2017. It is the goal of the Part B 619 and Part C that all BDI-2 evaluators will go through a training to increase their skills and proficiency when administering the BDI-2.

South Dakota also continues to analyze the existing Indicator C3 data. The state team recognized that category e is too high for

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicators A and C and contributed to the 2016 Needs Assistance determination. Working with national TA Center DaSy, the national BDI User Group moderated by ECTA and a private consultant the state team continues to evaluate the extent to which business rules may play a part in the child outcomes performance.

South Dakota Data Manager attended the Improving Data, Improving Outcomes conference in New Orleans, August 15, 16 and 17, 2016. At this conference the data manager worked with TA centers DaSy and ECTA and other states that use the BDI-2 as their child outcome tool to identify business rules which can more accurately depict child outcomes performance.

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary Form (COSF)? No

Provide the criteria for defining "comparable to same-aged peers" and list the instruments and procedures used to gather data for this indicator.

In South Dakota, school districts are required by administrative rule to conduct the evaluation to determine a child's eligibility for Part C services. The Battelle Developmental Inventory Second Edition (BDI-2) is the tool utilized by Part B and Part C offices. Children are evaluated using this consistent method which enhances the validity of the data. The entry scores are determined by the standard deviation scores from each outcome area for each child. An "exit" BDI-2 assessment is given to children who have been in the Birth to Three program for at least 6 months and are exiting. This exit assessment serves two purposes, one for children transitioning at age three to determine eligibility for Part B 619 programs and secondly for the Part C program to determine child's developmental status.

Entry and exit BDI-2 scores are stored in the BDI-2 database. From this database, state Part C staff retrieve scores of children who have exited the Part C program during the reporting period. Part C state staff collaborate with evaluators and the Part B 619 coordinator to ensure all appropriate testing was completed and scores reported. BDI-2 entry and exit scores are then compared for those exiting children, and formulated according to the state's BDI-2 business rules to determine the child's progress in the three outcomes areas.

During FFY2015, July 1, 2015 to June 30, 2016, 1060 children exited the Birth to Three program of which 687 children had qualifying entry and exit BDI-2 scores. Entry scores for the 687 exiting children were compared to their exit scores using defined state business rules. Resulting data was entered into the GRADS360 Indicator C3 table and reported accordingly.

The 687 exiting children computes to a 65% completion rate, a 4% increase over FFY2014 completion rate and an increased completion rate 18% over the past two years, since FFY2013. This increase in completion rate is significant as South Dakota Part C has been placed in Needs Assistance for the past two years. South Dakota did not receive full points in the Completeness component of Results. Working with national TA centers DaSy, ECTA and a private consultant the State identified this during Phase I of the SSIP and sought TA in establishing procedures to increase this completion rate. This increase meets the OSEP target of 65% Completeness. South Dakota continues to monitor and provide technical assistance to increase the completion percentage for indicator C3.

Additional data analysis of FFY2015 indicates of the 373 children who exited the Birth to Three program but did not receive a qualifying exit score approximately 70% were in the Birth to Three program less than 6 months.

Actions required in FFY 2014 response

none

OSEP Response

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 4: Family Involvement**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A	2006	Target ≥					96.20%	96.40%	96.60%	96.60%	96.60%	93.90%	93.90%
		Data			93.90%	97.80%	96.50%	98.40%	99.30%	99.20%	99.04%	96.83%	99.67%
B	2006	Target ≥					89.80%	90.00%	90.20%	90.20%	90.20%	89.40%	89.40%
		Data			89.40%	97.40%	95.60%	97.60%	98.60%	98.30%	98.09%	97.74%	98.68%
C	2006	Target ≥					89.60%	89.80%	90.00%	90.00%	90.00%	89.30%	89.30%
		Data			89.30%	94.30%	96.20%	98.40%	99.00%	98.30%	98.56%	96.38%	98.68%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target A ≥	93.90%	93.90%	94.00%	94.10%
Target B ≥	89.40%	89.40%	89.50%	90.00%
Target C ≥	89.30%	89.30%	89.50%	90.00%

Key:

Targets: Description of Stakeholder Input

The South Dakota Part C Birth to Three program has a strong relationship with the State Interagency Coordinating Council. Through quarterly meetings, members are kept abreast of program development and data trends. The State Interagency Coordinating Council (SICC) was heavily involved in the planning and writing the 5-year Birth to Three SPP/APR plan. This was through regularly scheduled SICC meetings as well as other communications.

In January 2017, the SICC convened to review FFY2015 data in relation to targets. SICC members reviewed and analyzed state and regional data with special consideration of data quality, trends, national data and other state data sources. SICC members discussed and considered facts specific to South Dakota including but not limited to critical shortage of providers, population sparsity in rural geographic locations leading to limited resources, Birth to Three program growth and financial implication. It was decided that targets would remain the same with no adjustments.

To ensure a broad overview of the state early intervention and demographics, SICC members represent a wide variety of programs and agencies such as Early Head Start, the Division of Insurance, early intervention providers, parents, South Dakota's Parent Training and Information Center (PTI) Parent Connection, South Dakota Department of Health, Black Hills State University Personnel Preparation, South Dakota Medical Service/Medicaid, South Dakota Office of Coordination of Homeless Children, South Dakota Foster Care/Child Protection Services/Auxiliary Placement, South Dakota Department of Human Services, South Dakota Child Care Services, Birth to Three regional program contractors, South Dakota education cooperative, Part B, Part B 619, school district special education administration, Tribal Head Start, South Dakota State Legislator and Part C staff. The diversity of membership results in valuable discussion of resources, challenges, initiatives and recommendations.

State ICC meeting dates, times, agendas and meeting minutes are posted on the Department of Education website and the South Dakota Boards and Commissions website. These meetings are open to the public.

A final copy of the SPP/APR is provided to the Secretary of Education who is a member of the Governor's cabinet. A copy is also provided to the Governor's office.

The SPP/APR was developed by the Part C Birth to Three state staff with input from stakeholders and assistance from the Early Childhood Technical Assistance Center (ECTA), the Center for IDEA Early Childhood Data Systems (DaSy) and a private consultant.

FFY 2015 SPP/APR Data

Number of respondent families participating in Part C	372.00
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	368.00
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	371.00
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	365.00
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	369.00
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	364.00
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	370.00

	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
A. Percent of families participating in Part C who report that early intervention services have helped the family know their rights	99.67%	93.90%	99.19%
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	98.68%	89.40%	98.92%
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	98.68%	89.30%	98.38%

Describe how the State has ensured that any response data are valid and reliable, including how the data represent the demographics of the State.

In FFY2015, a total of 974 surveys were distributed to Part C families; 372 were returned for a response rate of 38.2%, this is a 5.4% increase in the return rate over FFY2014. The validity and reliability of the survey is ensured by having a carefully crafted survey that is understandable, measures the indicator, and is based on a representative group of parents. The representativeness of the surveys was assessed by examining the demographic characteristics survey responses, to the demographic characteristics of children in South Dakota's Part C program. This comparison indicates the results are representative by geographic region where the child receives services, the age of the child at referral and race/ethnicity of the child. For example, 14% of parents who returned a survey indicated that their children are Native American and 15% of children served by Part C are Native American; 72% of the parents who returned a survey indicated that their children are white and 71% of children in Part C are white.

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? No

Yes, the data accurately represent the demographics of the State

No, the data does not accurately represent the demographics of the State

Actions required in FFY 2014 response

none

OSEP Response

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 5: Child Find (Birth to One)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			0.93%	0.95%	0.97%	0.89%	0.90%	0.91%	0.91%	0.82%	0.82%
Data		0.82%	1.21%	1.15%	0.87%	0.88%	1.21%	1.25%	1.36%	1.64%	1.67%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥	0.82%	0.82%	0.85%	0.86%

Key:

Targets: Description of Stakeholder Input

The South Dakota Part C Birth to Three program has a strong relationship with the State Interagency Coordinating Council. Through quarterly meetings, members are kept abreast of program development and data trends. The State Interagency Coordinating Council (SICC) was heavily involved in the planning and writing the 5-year Birth to Three SPP/APR plan. This was through regularly scheduled SICC meetings as well as other communications.

In January 2017, the SICC convened to review FFY2015 data in relation to targets. SICC members reviewed and analyzed state and regional data with special consideration of data quality, trends, national data and other state data sources. SICC members discussed and considered facts specific to South Dakota including but not limited to critical shortage of providers, population sparsity in rural geographic locations leading to limited resources, Birth to Three program growth and financial implication. It was decided that targets would remain the same with no adjustments.

To ensure a broad overview of the state early intervention and demographics, SICC members represent a wide variety of programs and agencies such as Early Head Start, the Division of Insurance, early intervention providers, parents, South Dakota's Parent Training and Information Center (PTI) Parent Connection, South Dakota Department of Health, Black Hills State University Personnel Preparation, South Dakota Medical Service/Medicaid, South Dakota Office of Coordination of Homeless Children, South Dakota Foster Care/Child Protection Services/Auxiliary Placement, South Dakota Department of Human Services, South Dakota Child Care Services, Birth to Three regional program contractors, South Dakota education cooperative, Part B, Part B 619, school district special education administration, Tribal Head Start, South Dakota State Legislator and Part C staff. The diversity of membership results in valuable discussion of resources, challenges, initiatives and recommendations.

State ICC meeting dates, times, agendas and meeting minutes are posted on the Department of Education website and the South Dakota Boards and Commissions website. These meetings are open to the public.

A final copy of the SPP/APR is provided to the Secretary of Education who is a member of the Governor's cabinet. A copy is also provided to the Governor's office.

The SPP/APR was developed by the Part C Birth to Three state staff with input from stakeholders and assistance from the Early Childhood Technical Assistance Center (ECTA), the Center for IDEA Early Childhood Data Systems (DaSy) and a private consultant.

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	Number of infants and toddlers birth to 1 with IFSPs	157	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2015	6/30/2016	Population of infants and toddlers birth to 1	12,419	null

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
 FFY 2015 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
157	12,419	1.67%	0.82%	1.26%

Provide additional information about this indicator (optional)

According to IDEA 2015 data of children under the age of one receiving services by eligibility, South Dakota ranks ninth out of the 18 state in Category B Eligibility criteria.

The US Census reports the birthrate for South Dakota had a minimal increase of 0.8% from FFY2014 to FFY2015. Data indicates the Birth to Three program had a slight decrease in the birth to one category in FFY2015. The Birth to Three program exceeded the State target and the National average of 1.20%.

Actions required in FFY 2014 response

none

OSEP Response

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 6: Child Find (Birth to Three)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2009

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			2.86%	2.87%	2.88%	2.84%	2.85%	2.86%	2.86%	2.81%	2.81%
Data		2.91%	2.97%	3.27%	3.14%	2.81%	3.10%	3.10%	3.05%	3.21%	3.43%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥	2.81%	2.81%	2.82%	2.83%

Key:

Targets: Description of Stakeholder Input

The South Dakota Part C Birth to Three program has a strong relationship with the State Interagency Coordinating Council. Through quarterly meetings, members are kept abreast of program development and data trends. The State Interagency Coordinating Council (SICC) was heavily involved in the planning and writing the 5-year Birth to Three SPP/APR plan. This was through regularly scheduled SICC meetings as well as other communications.

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The SPP/APR was developed by the Part C Birth to Three state staff with input from stakeholders and assistance from the Early Childhood Technical Assistance Center (ECTA), the Center for IDEA Early Childhood Data Systems (DaSy) and a private consultant.

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	Number of infants and toddlers birth to 3 with IFSPs	1,174	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2015	6/30/2016	Population of infants and toddlers birth to 3	37,086	

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
FFY 2015 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
1,174	37,086	3.43%	2.81%	3.17%

Provide additional information about this indicator (optional)

According to IDEA 2015 child count data for children served ages birth to three, South Dakota ranked tenth out of the 19 state in Category B Eligibility criteria.

The US Census reports South Dakota had a 1.2% increase in the population of children age birth to three, from FFY2014 to FFY2015. The Birth to Three program in South Dakota had a .26% decrease from FFY2014 to FFY2015.

South Dakota exceeded the State target of 2.81% and the National average of 3.0%.

Actions required in FFY 2014 response

none

OSEP Response

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 7: 45-day timeline**

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		97.30%	100%	100%	100%	100%	100%	100%	100%	99.44%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
239	241	100%	100%	100%

<p>Number of documented delays attributable to exceptional family circumstances This number will be added to the "Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline" field above to calculate the numerator for this indicator.</p>	2
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Third quarter of FFY2015 (January 1, 2016 through March 31, 2016).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

For indicator C7, one quarter of the fiscal year was used to determine compliance with the indicator. The State selected the third quarter of FFY2015 (January 1, 2016 through March 31, 2016). This poll is considered representative of the full reporting year because the same variables are in place for this quarter of the fiscal year as in all quarters. The South Dakota Birth to Three program is confident that the chosen reporting period accurately reflects data for infants and toddlers with IFSPs for FFY2015.

Actions required in FFY 2014 response

none

Note: Any actions required in last year's response that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2014

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2015-June 30, 2016). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8A: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 SPP/APR Data

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.

- Yes
- No

Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
163	166	100%	100%	100%

Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of children exiting Part C who have an IFSP with transition steps and services" field to calculate the numerator for this indicator.</i>	3
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Third quarter FFY2015 January 1, 2016 through March 31, 2016.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

For indicator C8A, one quarter of the fiscal year was used to determine compliance with the indicator. The State selected the third quarter of FFY2015 (January 1, 2016 - March 31, 2016). This poll is considered representative of the full reporting year because the same variables are in place for this quarter of the fiscal year as in all quarters. The South Dakota Birth to Three program is confident that the chosen reporting period accurately reflects data for infants and toddlers with IFSPs for FFY2015.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Actions required in FFY 2014 response

none

Note: Any actions required in last year's response that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2014

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2015-June 30, 2016). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8B: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 SPP/APR Data

Data include notification to both the SEA and LEA

- Yes
- No

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
166	166	100%	100%	100%

Number of parents who opted out <i>This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</i>	null
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Describe the method used to collect these data

In South Dakota all children are potentially eligible for Part B. One-hundred and ten days prior to child turning three years old the states data system automatically generates an email to notify the Special Education Director of the LEA and the SEA. In addition, service coordinators send the LEA another notification prior to the child turning three years of age.

Do you have a written opt-out policy? No

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Third quarter of FFY2015 (January 1, 2016 - March 31, 2016).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

For indicator C8B, one quarter of the fiscal year was used to determine compliance with the indicator. The State selected the third quarter of FFY2015 (January 1, 2016 - March 31, 2016). This poll is considered representative of the full reporting year because the same variables are in place for this quarter of the fiscal year as in all quarters. The South Dakota Birth to Three program is confident that the chosen reporting period accurately reflects data for infants and toddlers with IFSPs for FFY2015.

Actions required in FFY 2014 response

none

Note: Any actions required in last year's response that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2014

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2015-June 30, 2016). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8C: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		94.60%	96.50%	100%	100%	100%	100%	100%	100%	99.38%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 SPP/APR Data

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

- Yes
- No

Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
163	166	100%	100%	100%

Number of toddlers for whom the parent did not provide approval for the transition conference <i>This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</i>	null
Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B" field to calculate the numerator for this indicator.</i>	3

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Third quarter of FFY2015 (January 1, 2016 - March 31, 2016).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

For indicator C8C, one quarter of the fiscal year was used to determine compliance with the indicator. The State selected the third quarter of FFY2015 (January 1, 2016 - March 31, 2016). This poll is considered representative of the full reporting year because the same variables are in place for this quarter of the fiscal year as in all quarters. The South Dakota Birth to Three program is confident that

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

the chosen reporting period accurately reflects data for infants and toddlers with IFSPs for FFY2015.

Actions required in FFY 2014 response

none

Note: Any actions required in last year's response that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2014

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2015-June 30, 2016). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 9: Resolution Sessions**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data:

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data											

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥				

Key:

Targets: Description of Stakeholder Input

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/2/2016	3.1(a) Number resolution sessions resolved through settlement agreements	n	null
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/2/2016	3.1 Number of resolution sessions	n	null

FFY 2015 SPP/APR Data

3.1(a) Number resolution sessions resolved through settlement agreements	3.1 Number of resolution sessions	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
0	0			

Actions required in FFY 2014 response

none

OSEP Response

The State reported fewer than ten resolution sessions held in FFY 2015. The State is not required to provide targets until any fiscal year in which ten or more resolution sessions were held.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 10: Mediation**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data											

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥				

Key:

Targets: Description of Stakeholder Input

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/2/2016	2.1.a.i Mediations agreements related to due process complaints	n	null
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/2/2016	2.1.b.i Mediations agreements not related to due process complaints	n	null
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/2/2016	2.1 Mediations held	n	null

FFY 2015 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
0	0	0			

Actions required in FFY 2014 response

none

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

OSEP Response

The State reported fewer than ten mediations held in FFY 2015. The State is not required to provide targets until any fiscal year in which ten or more mediations were held.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 11: State Systemic Improvement Plan**

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Reported Data

Baseline Data: 2013

FFY	2013	2014	2015
Target		58.82%	58.82%
Data	58.82%	54.97%	50.00%

Key: Gray – Data Prior to Baseline Yellow – Baseline
Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	58.82%	58.82%	60.00%

Key:

Description of Measure

The measure used in the collection of data for this indicator is the COSF (Child Outcome Summary Form). Entry data is collected on all children and exit data is collected upon exiting the program, if the child has been in South Dakota Birth to Three for 6 months or longer. Data under Summary Statement 1, in the Knowledge and Skills outcome area (those children making substantial progress towards functioning as same age peers), will be used to measure progress.

The baseline was established from the FFY2013, the last fiscal year, and targets were set with an increase evident by FFY2018. State Interagency Coordinating Council (ICC) members, several who also are members of the State Systemic Improvement Plan (SSIP) stakeholder group, discussed at length the targets for Indicator C-3b, Summary Statement 1. State ICC members discussed and considered facts specific to South Dakota including but not limited to critical shortage of providers, population sparsity in rural geographic locations leading to limited resources, Birth to Three program growth and financial implication (see SPP/APR Indicator C-3 Stakeholder Input). Given these facts, State ICC members proceeded with a rich conversation and unanimously recommended a conservative approach to the targets. This approach allows the state Birth to Three team to put in place statewide evidence based practices that will impact knowledge and skills outcomes.

The State Birth to Three team regularly provides state ICC members with data reports. Through this manner, State ICC members will evaluate over time if there is evidence to support increasing the targets prior to FY2018.

Targets: Description of Stakeholder Input

South Dakota Part C Birth to Three program obtained broad stakeholder input when setting targets for Indicator C-3. This includes the following:

SICC Involvement

Since January 2014, the State Interagency Coordinating Council (ICC) has been heavily involved in the ongoing efforts of familiarizing themselves with the APR/SPP process and the planning and writing the new 5-year Birth to Three SPP/APR plan. This has been done through regularly scheduled State ICC meetings as well as other communications. The culmination of the State ICC work took place in November and December of 2014 and January 2015 when the group met several times to specifically work on setting the SPP/APR targets. During these meetings, State ICC members reviewed and analyzed state and regional data with special consideration of data quality, trends, national data and other state data sources. State ICC members discussed and considered facts specific to South Dakota including but not limited to critical shortage of providers, population sparsity in rural geographic locations leading to limited resources, Birth to Three program growth and financial implication.

State ICC members represent a wide variety of programs and agencies such as Early Head Start, the Division of Insurance, early intervention providers, parents, South Dakota Parent Connection (PTI), South Dakota Department of Health, Black Hills State University Personnel Preparation, South Dakota Medical Service/Medicaid, South Dakota Office of Coordination of Homeless Children, South Dakota Foster Care/Child Protection Services/Auxiliary Placement, South Dakota Department of Human Services, South Dakota Child Care Services, Birth to Three regional program contractors, South Dakota education cooperative, Part B, Part B 619, Tribal Head Start, South Dakota State Legislator and Part C program staff. The diversity of membership results in valuable discussion of resources,

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

challenges, initiatives and recommendations.

The State ICC provided the state team with recommended targets for FFY 2013-FFY 2018 for results Indicators C-2, C-3, C-4, C-5 and C-6.

State ICC meetings, dates and times are posted on the Department of Education website along with agenda and meeting minutes. These meetings are open to the public.

A final copy of the SPP/APR is provided to the Secretary of Education who is a member of the Governor's cabinet. A copy is also provided to the Governor's office.

State SIP Stakeholder Involvement

The SPP/APR was developed by the Part C Birth to Three state staff with input from stakeholders and assistance from the Early Childhood Technical Assistance Center (ECTA), the Center for IDEA Early Childhood Data Systems (DaSy), Mountain Plains Regional Resource Center and a private consultant.

Overview

See Attached PDF

Data Analysis

A description of how the State identified and analyzed key data, including data from SPP/APR indicators, 618 data collections, and other available data as applicable, to: (1) select the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families, and (2) identify root causes contributing to low performance. The description must include information about how the data were disaggregated by multiple variables (e.g., EIS program and/or EIS provider, geographic region, race/ethnicity, socioeconomic status, gender, etc.) As part of its data analysis, the State should also consider compliance data and whether those data present potential barriers to improvement. In addition, if the State identifies any concerns about the quality of the data, the description must include how the State will address these concerns. Finally, if additional data are needed, the description should include the methods and timelines to collect and analyze the additional data.

Analysis of State Infrastructure to Support Improvement and Build Capacity

A description of how the State analyzed the capacity of its current infrastructure to support improvement and build capacity in EIS programs and/or EIS providers to implement, scale up, and sustain the use of evidence-based practices to improve results for infants and toddlers with disabilities and their families. State systems that make up its infrastructure include, at a minimum: governance, fiscal, quality standards, professional development, data, technical assistance, and accountability/monitoring. The description must include current strengths of the systems, the extent the systems are coordinated, and areas for improvement of functioning within and across the systems. The State must also identify current State-level improvement plans and other early learning initiatives, such as Race to the Top-Early Learning Challenge and the Home Visiting program and describe the extent that these new initiatives are aligned, and how they are, or could be, integrated with, the SSIP. Finally, the State should identify representatives (e.g., offices, agencies, positions, individuals, and other stakeholders) that were involved in developing Phase I of the SSIP and that will be involved in developing and implementing Phase II of the SSIP.

State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and Their Families

A statement of the result(s) the State intends to achieve through the implementation of the SSIP. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be aligned to an SPP/APR indicator or a component of an SPP/APR indicator. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be clearly based on the Data and State Infrastructure Analyses and must be a child- or family-level outcome in contrast to a process outcome. The State may select a single result (e.g., increase the rate of growth in infants and toddlers demonstrating positive social-emotional skills) or a cluster of related results (e.g., increase the percentage reported under child outcome B under Indicator 3 of the SPP/APR (knowledge and skills) and increase the percentage trend reported for families under Indicator 4 (helping their child develop and learn)).

Statement

The South Dakota Birth to Three State Identified Measurable Result (SIMR)

To substantially increase the rate of children's growth in their acquisition and use of knowledge and skills, including early language/communication, by the time they exit the program, as defined by the targets established for Indicator 3B, Summary Statement 1 in each of the years FFY 2014-2018.

Description

See "South Dakota Part C State Systemic Improvement Plan 2016" in below Attachments section.

Selection of Coherent Improvement Strategies

An explanation of how the improvement strategies were selected, and why they are sound, logical and aligned, and will lead to a measurable improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families. The improvement strategies should include the strategies, identified through the Data and State Infrastructure Analyses, that are needed to improve the State infrastructure and to support EIS program and/or EIS provider implementation of evidence-based practices to improve the State-identified result(s) for infants and toddlers with disabilities and their families. The State must describe how implementation of the improvement strategies will address identified root causes for low performance and ultimately build EIS program and/or EIS provider capacity to achieve the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

See "South Dakota Part C State Systemic Improvement Plan 2015" in below Attachments section.

Theory of Action

A graphic illustration that shows the rationale of how implementing the coherent set of improvement strategies selected will increase the State's capacity to lead meaningful change in EIS programs and/or EIS providers, and achieve improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Submitted Theory of Action: No Theory of Action Submitted

 Provide a description of the provided graphic illustration (optional)

Infrastructure Development

- (a) Specify improvements that will be made to the State infrastructure to better support EIS programs and providers to implement and scale up EBPs to improve results for infants and toddlers with disabilities and their families.
- (b) Identify the steps the State will take to further align and leverage current improvement plans and other early learning initiatives and programs in the State, including Race to the Top-Early Learning Challenge, Home Visiting Program, Early Head Start and others which impact infants and toddlers with disabilities and their families.
- (c) Identify who will be in charge of implementing the changes to infrastructure, resources needed, expected outcomes, and timelines for completing improvement efforts.
- (d) Specify how the State will involve multiple offices within the State Lead Agency, as well as other State agencies and stakeholders in the improvement of its infrastructure.

See Attached

Support for EIS programs and providers Implementation of Evidence-Based Practices

- (a) Specify how the State will support EIS providers in implementing the evidence-based practices that will result in changes in Lead Agency, EIS program, and EIS provider practices to achieve the SIMR(s) for infants and toddlers with disabilities and their families.
- (b) Identify steps and specific activities needed to implement the coherent improvement strategies, including communication strategies and stakeholder involvement; how identified barriers will be addressed; who will be in charge of implementing; how the activities will be implemented with fidelity; the resources that will be used to implement them; and timelines for completion.
- (c) Specify how the State will involve multiple offices within the Lead Agency (and other State agencies such as the SEA) to support EIS providers in scaling up and sustaining the implementation of the evidence-based practices once they have been implemented with fidelity.

See Attached

Evaluation

- (a) Specify how the evaluation is aligned to the theory of action and other components of the SSIP and the extent to which it includes short-term and long-term objectives to measure implementation of the SSIP and its impact on achieving measurable improvement in SIMR(s) for infants and toddlers with disabilities and their families.
- (b) Specify how the evaluation includes stakeholders and how information from the evaluation will be disseminated to stakeholders.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

(c) Specify the methods that the State will use to collect and analyze data to evaluate implementation and outcomes of the SSIP and the progress toward achieving intended improvements in the SIMR(s).

(d) Specify how the State will use the evaluation data to examine the effectiveness of the implementation; assess the State's progress toward achieving intended improvements; and to make modifications to the SSIP as necessary.

See Attached

Technical Assistance and Support

Describe the support the State needs to develop and implement an effective SSIP. Areas to consider include: Infrastructure development; Support for EIS programs and providers implementation of EBP; Evaluation; and Stakeholder involvement in Phase II.

See Attached

Phase III submissions should include:

- Data-based justifications for any changes in implementation activities.
- Data to support that the State is on the right path, if no adjustments are being proposed.
- Descriptions of how stakeholders have been involved, including in decision-making.

A. Summary of Phase 3

1. Theory of action or logic model for the SSIP, including the SiMR.
2. The coherent improvement strategies or principle activities employed during the year, including infrastructure improvement strategies.
3. The specific evidence-based practices that have been implemented to date.
4. Brief overview of the year's evaluation activities, measures, and outcomes.
5. Highlights of changes to implementation and improvement strategies.

See Attached PDF

B. Progress in Implementing the SSIP

1. Description of the State's SSIP implementation progress: (a) Description of extent to which the State has carried out its planned activities with fidelity—what has been accomplished, what milestones have been met, and whether the intended timeline has been followed and (b) Intended outputs that have been accomplished as a result of the implementation activities.
2. Stakeholder involvement in SSIP implementation: (a) How stakeholders have been informed of the ongoing implementation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing implementation of the SSIP.

See Attached PDF

C. Data on Implementation and Outcomes

1. How the State monitored and measured outputs to assess the effectiveness of the implementation plan: (a) How evaluation measures align with the theory of action, (b) Data sources for each key measure, (c) Description of baseline data for key measures, (d) Data collection procedures and associated timelines, (e) [If applicable] Sampling procedures, (f) [If appropriate] Planned data comparisons, and (g) How data management and data analysis procedures allow for assessment of progress toward achieving intended improvements
2. How the State has demonstrated progress and made modifications to the SSIP as necessary: (a) How the State has reviewed key data that provide evidence regarding progress toward achieving intended improvements to infrastructure and the SiMR, (b) Evidence of change to baseline data for key measures, (c) How data support changes that have been made to implementation and improvement strategies, (d) How data are informing next steps in the SSIP implementation, and (e) How data support planned modifications to intended outcomes (including the SiMR)—rationale or justification for the changes or how data support that the SSIP is on the right path
3. Stakeholder involvement in the SSIP evaluation: (a) How stakeholders have been informed of the ongoing evaluation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing evaluation of the SSIP

See Attached PDF

D. Data Quality Issues: Data limitations that affected reports of progress in implementing the SSIP and achieving the SiMR

1. Concern or limitations related to the quality or quantity of the data used to report progress or results
2. Implications for assessing progress or results
3. Plans for improving data quality

See Attached PDF

E. Progress Toward Achieving Intended Improvements

1. Infrastructure changes that support SSIP initiatives, including how system changes support achievement of the SiMR, sustainability, and scale-up
2. Evidence that SSIP's evidence-based practices are being carried out with fidelity and having the desired effects
3. Outcomes regarding progress toward short-term and long-term objectives that are necessary steps toward achieving the SiMR
4. Measurable improvements in the SiMR in relation to targets

See Attached PDF

F. Plans for Next Year

1. Additional activities to be implemented next year, with timeline
2. Planned evaluation activities including data collection, measures, and expected outcomes
3. Anticipated barriers and steps to address those barriers
4. The State describes any needs for additional support and/or technical assistance

See Attached PDF

OSEP Response

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Certify and Submit your SPP/APR**

I certify that I am the Director of the State's Lead Agency under Part C of the IDEA, or his or her designee, and that the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report is accurate.

Selected: Designated by the Lead Agency Director to certify

Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report.

Name: Sarah Carter

Title: Part C Director

Email: sarah.carter@state.sd.us

Phone: 605-773-4478