BACKGROUND
South Dakota began the process of developing a new statewide accountability model in September 2011. The Department of Education assembled a group of 23 individuals representing key stakeholder groups to provide recommendations regarding a next-generation accountability model for South Dakota. Those individuals included: school administrators, teachers, tribal educators, state board members, legislators, and representatives of higher education and state education associations.

To date, the group has met four times. During that time period, the U.S. Department of Education also issued its ESEA Waiver Flexibility package.

The resulting proposed Accountability Model, summarized here, was assembled by the South Dakota Department of Education. It is a model intended to be legitimate and fair; useful to educators and administrators; easily understood by the public; and, most importantly, one that promotes continuous improvement for South Dakota schools.

SUMMARY
South Dakota’s proposed next-generation accountability model takes a thoughtful, balanced approach to defining the indicators of a strong education system. Rather than focusing on student proficiency on a single assessment, it encompasses multiple indicators, including student growth, that are critical pieces in preparing students for the rigors of the 21st century world.

The proposed model will continue to hold schools accountable for student proficiency and closing achievement gaps through continued annual public reporting of disaggregated student outcomes in math and reading. It reaches beyond the once-a-year summative assessment, to offer a more credible and meaningful model. The expectation is that the model will be used to inform school administrators, teachers and the public as to how schools and individual students are progressing. And with its emphasis on continuous improvement, it sets a high bar for ongoing reflection and goal setting.

The proposed next-generation accountability model is based on the following key indicators:

1) Student Achievement
2) Academic Growth (Elementary and Middle School) OR Completion Rate (High School)
3) Attendance (Elementary and Middle School) OR College & Career Readiness (High School)
4) Effective Teachers and Principals
5) School Climate
OVERVIEW
The proposed accountability model uses a 100-point index, called the School Performance Index. A numeric value will be assigned to each of the five indicators on the index. These values will be added to create a final Overall Score. Two distinct models will be used: 1) one for High School accountability, and 2) one for Elementary and Middle School accountability.

School Performance Index

**High School (see detailed breakdown page 5)**

<table>
<thead>
<tr>
<th>Indicator #1</th>
<th>Indicator #2</th>
<th>Indicator #3</th>
<th>Indicator #4</th>
<th>Indicator #5</th>
<th>OVERALL SCORE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Student Achievement</td>
<td>High School Completion Rate</td>
<td>College &amp; Career Readiness</td>
<td>Effective Teachers &amp; Principals</td>
<td>School Climate</td>
<td>100 points</td>
</tr>
</tbody>
</table>

**Elementary and Middle School (see detailed breakdown page 5)**

<table>
<thead>
<tr>
<th>Indicator #1</th>
<th>Indicator #2</th>
<th>Indicator #3</th>
<th>Indicator #4</th>
<th>Indicator #5</th>
<th>OVERALL SCORE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Student Achievement</td>
<td>Academic Growth</td>
<td>Attendance</td>
<td>Effective Teachers &amp; Principals</td>
<td>School Climate</td>
<td>100 points</td>
</tr>
</tbody>
</table>

Annual Measurable Objectives (AMOs): Targets and Goals
Under the proposed model, each school has its own unique AMO goal, with yearly progress defined as meeting the annual targets toward that goal. AMO goals and targets are set as follows:

- In the first year of each five-year cycle and for each level (elementary/middle school and high school), an Overall Score on the School Performance Index is calculated for each public school and ranked.

- **Status schools**: Schools whose total score on the SPI is at or above the top 10 percent.
  - AMO for these schools for the next four years is to remain above the lowest score of the top 10 percent of schools as ranked in the first year.

- **Progressing schools**: Schools whose total score on the SPI is greater than the bottom 15 percent but are less than the top 10 percent.
  - AMO for these schools is to grow ¼ of a standard deviation over the next four years
  - Growth is divided into four equal increments
- **Focus schools**: Schools whose total score on the SPI is at and less than the bottom 15 percent but greater than the bottom 5 percent.
  - AMO for these schools is to grow $\frac{1}{2}$ of a standard deviation over the next four years
  - Growth is divided into 4 equal increments

- **Priority schools**: Schools whose total score on the SPI is at or below the bottom 5 percent.
  - AMO for these schools is to grow $\frac{3}{4}$ of a standard deviation over the next four years
  - Growth is divided into four equal increments

### Phase-In of School Performance Index

- **2011-12**: Existing accountability model used for final year
- **2012-13**: School Performance Index in place with all indicators except Effective Teachers and Principals and School Climate at both levels
- **2013-14**: School Performance Index same indicators as in 2012-13
- **2014-15**: Add Effective Teachers and Principals indicator (assuming proper evaluation instruments/models for determining student growth in place)
  - Add School Climate indicator (assuming proper tool is in place)
  - Reset AMO goals and targets due to new assessment
INDEX & INDICATORS: High Schools

At the High School level, the School Performance Index will include the following key indicators:

<table>
<thead>
<tr>
<th></th>
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<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2012-13 &amp; 2013-14</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2014-15 Points: 25</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Indicator #1: Student Achievement**

-- Percent proficient or higher in English language arts and mathematics in grade 11 on state assessment

Calculation includes:

-- Subset 1 score
-- Subset 2 score
-- Unduplicated count

(indicated upon the % of Subset 1 and Subset 2 students in the school population)

Implemented in 2012-13

<table>
<thead>
<tr>
<th>Year</th>
<th>Points: 40</th>
<th>Points: 40</th>
<th>Points: 20</th>
<th>Points: 20</th>
<th>Points: 20</th>
<th>Points: 10</th>
</tr>
</thead>
<tbody>
<tr>
<td>2012-13 &amp; 2013-14</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2014-15 Points: 25</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Indicator #2: High School Completion Rate**

-- Completer rate (75% of points)
-- Four-year cohort graduation rate (25% of points)

**Indicator #3: College & Career Ready**

-- Percent of students pursuing postsecondary 16 months after graduation
-- Percent of ACT student scores whose math sub-score is 20 or higher
-- Percent of ACT student scores whose English sub-score is 18 or higher

**Indicator #4: Effective Teachers & Principals**

-- Aggregate number of teachers in each of four categories: Unsatisfactory, Basic, Proficient, Distinguished

**Indicator #5: School Climate**

-- Measurement tool needs to be determined

INDEX & INDICATORS: Elementary & Middle Schools

At the Elementary and Middle School levels, the School Performance Index will include the following key indicators:

<table>
<thead>
<tr>
<th>Year</th>
<th>Points: 40</th>
<th>Points: 40</th>
<th>Points: 20</th>
<th>Points: 20</th>
<th>Points: 20</th>
<th>Points: 10</th>
</tr>
</thead>
<tbody>
<tr>
<td>2012-13 &amp; 2013-14</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2014-15 Points: 25</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Indicator #1: Student Achievement**

-- Percent proficient or higher in English language arts and mathematics in grades 3-8 on state assessment

Calculation includes:

-- Subset 1 score
-- Subset 2 score
-- Unduplicated count

(indicated upon the % of Subset 1 and Subset 2 students in the school population)

Implemented in 2012-13

**Indicator #2: Academic Growth**

-- Value added (linear regression) model based on student growth -- factoring for certain variables

**Indicator #3: Attendance**

**Indicator #4: Effective Teachers & Principals**

-- Aggregate number of teachers in each of four categories: Unsatisfactory, Basic, Proficient, Distinguished

**Indicator #5: School Climate**

-- Measurement tool needs to be determined
INDICATOR #1: Student Achievement
At the High School level, the student achievement score will be based on the percent of students scoring proficient or advanced on the statewide assessment in reading and math delivered in 11th grade.

At the Elementary and Middle School levels, the student achievement score will be based on the percent of students scoring proficient or advanced on the statewide assessment in reading and math in grades 3-8.

Points will be given for two separate groups: Subset 1 and Subset 2. Points for these two groups are based on the percent of students in each group and summed to determine the final score for student achievement.

What are Subset 1 and Subset 2?
Subset 2 is an aggregate count of student groups in our state that have historically experienced achievement gaps. At this time, South Dakota will include the following student groups in Subset 2: Black, Native American, Hispanic, Economically Disadvantaged, Students with Disabilities, Limited English Proficient.

To calculate Subset 2, unduplicated counts of students who score proficient or higher on the statewide assessment and are in the identified student groups would be summed. This will yield a single number of proficient or higher students in Subset 2, with no student counting more than one time, and all students in included groups being counted once.

Example: Unduplicated Count
- Cheyenne – Native American. Scores Advanced.

Based on the above, an unduplicated count would show three total students with two of the students (Addy and Cheyenne), or 66.66 percent, counting as proficient or higher in Subset 2.

Subset 1 includes all students not in Subset 2. Those scoring proficient or higher would be included in the calculation.

Under the proposed system, the N-size will be 10. By using an N of 10 and the aggregate of those groups that have historically experienced achievement gaps, almost every school in the state will have a focus on those groups of students who have traditionally experienced the largest achievement gaps. Individual subgroups of students will still be disaggregated and reported, but not for accountability purposes.
Example: Student Achievement Calculation
Overall possible points: 25

Step 1: Divide maximum allowable index points in half to allow equal weight for reading and math
Step 2: Calculate the # of students that fall into Subset 1 and Subset 2 groups.
Step 3: Calculate the % of students that fall into the Subset 1 and Subset 2 groups by dividing each by the total number of students.
Step 4: Take the overall possible points (column 1) times the % of students (column 3) in each group to get the weighted points for each group.
Step 5: Calculate the % Proficient/Advanced for each group.
Step 6: Calculate the score for each group by multiplying the % Proficient/Advanced (column 5) times the weighted points for each group (column 4).
Step 7: The sum of these represents total points for Student Achievement category.

<table>
<thead>
<tr>
<th></th>
<th>Step:</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Overall Index Points Possible</td>
<td>Number of Students</td>
<td>% of Students</td>
<td>Weighted Points (% Students X Points)</td>
<td>% Proficient/Advanced</td>
<td>Score (Weighted Points X % P/A)</td>
<td></td>
</tr>
<tr>
<td>Math</td>
<td>Subset 2</td>
<td>12.5</td>
<td>71</td>
<td>26%</td>
<td>3.27</td>
<td>58%</td>
<td>1.90</td>
</tr>
<tr>
<td></td>
<td>Subset 1</td>
<td>200</td>
<td>74%</td>
<td>9.23</td>
<td>83%</td>
<td>7.66</td>
<td></td>
</tr>
<tr>
<td>Reading</td>
<td>Subset 2</td>
<td>12.5</td>
<td>71</td>
<td>26%</td>
<td>3.27</td>
<td>62%</td>
<td>2.03</td>
</tr>
<tr>
<td></td>
<td>Subset 1</td>
<td>200</td>
<td>74%</td>
<td>9.23</td>
<td>88%</td>
<td>8.12</td>
<td></td>
</tr>
<tr>
<td>TOTAL</td>
<td>25</td>
<td>25.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>19.71</td>
</tr>
</tbody>
</table>

Step 7: TOTAL POINTS for Student Achievement Category
INDICATOR #2: High School Completion OR Academic Growth

At the High School level, the second indicator is called High School Completion Rate. This indicator will consist of two weighted measures: Completer Rate and the four-year cohort Graduation Rate.

Completer Rate is defined as follows: Percent of students in the current school year who have attained one of the following: a) diploma, b) GED, c) fulfilled the requirements of an Individual Education Plan (IEP), d) fulfilled the requirements of a Language Acquisition Plan (LAP). It will account for 75 percent of the points in indicator 2.

Example: Completer Rate Calculation

HS Diploma = 100 + GED = 7 + Max age of 21 = 3 (Total = 110)
Dropouts = 7 + HS Diplomas = 100 + GED = 7 + Max age of 21 = 3 (Total = 117)

110/117 = 94% Completer Rate

Graduation Rate is defined as the four-year cohort Graduation Rate required under Title I. It will account for 25 percent of the points in indicator 2.

At the Elementary and Middle School levels, a Growth calculation will be used for accountability purposes.

South Dakota is proposing a Value Added Model (VAM) for Growth that employs linear regression statistical tools. Value Added Models rely on student demographic characteristics and prior achievement as statistical controls in order to isolate the specific effects of a particular school, program or teacher on student academic progress. South Dakota utilizes its own variation of VAM in the state’s Teacher Incentive Fund grant, which affords us some data and experience for the Next Generation Accountability Model.

Example: Academic Growth Calculation

<table>
<thead>
<tr>
<th>% Students exceeded projected growth</th>
<th>X Possible Index points</th>
</tr>
</thead>
<tbody>
<tr>
<td>80%</td>
<td>25</td>
</tr>
</tbody>
</table>

Score 20

TOTAL points for Academic Growth Indicator
INDICATOR #3: College & Career Readiness OR Attendance
At the High School level, the College & Career Readiness score will be based on the factors noted below. Each of the factors will be weighted.

1) Percent of students pursuing postsecondary 16 months after graduation – This calculation includes data from any postsecondary facility that reports to the National Student Clearinghouse
2) Percent of students whose ACT math sub-score is 20 or above
3) Percent of students whose ACT English sub-score is 18 or above

Example: Calculating College & Career Readiness Calculation
Overall possible points: 20

Step 1: Calculate weighted points for each factor by multiplying weighted % for each factor by total possible points.
Step 2: Calculate the rate for each factor.
Step 3: Calculate the score for each factor by multiplying the rate times the weighted points for each group.
Step 4: The sum of these represents total possible points for College and Career Readiness.

<table>
<thead>
<tr>
<th>Step</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Factors</td>
<td>Weight as %</td>
<td>Weighted Points</td>
<td>Rate as %</td>
</tr>
<tr>
<td>% ACT Score 20 or Greater for Math</td>
<td>25%</td>
<td>5.00</td>
<td>67%</td>
</tr>
<tr>
<td>% ACT Score 18 or Greater for English</td>
<td>25%</td>
<td>5.00</td>
<td>69%</td>
</tr>
<tr>
<td>% students pursuing postsecondary in 16 months</td>
<td>50%</td>
<td>10.00</td>
<td>72%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Step 4</th>
<th>TOTAL POINTS for College &amp; Career Readiness</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>14</td>
</tr>
</tbody>
</table>

At the Elementary and Middle School levels, the indicator will be attendance rate. A school’s attendance percentage would be multiplied by the total points for this category to come up with a score for this indicator.

EXAMPLE: School A has an attendance rate of 90%. If total points for this indicator are 20, School A’s score for this indicator would be 18.
**INDICATOR #4: Effective Teachers & Principals**

At both levels, the Effective Teachers & Principals score would be based on the percentage of teachers in the school who perform at the Proficient or Distinguished levels on a statewide evaluation instrument. The percentage of teachers who score at the Proficient or Distinguished levels is multiplied by total possible points.

- 50 percent of that performance rating must be based on quantitative measures of student academic growth in one school year.
- 50 percent of that performance rating must be based on qualitative components that are measurable and evidence-based.

Much work needs to be done related to this indicator; therefore, it will not be included in the School Performance Index until 2014-15. Work groups will be needed to address both the teacher evaluation piece and the principal standards and evaluation piece, as well as building appropriate assessments for this purpose. While standards are now in place for teachers, there are no such statewide standards for principals.

Finally, South Dakota does not currently have valid and reliable measurements in place that would evaluate individual student growth within an academic year, which could then be tied to teacher and principal performance. At this time, it does appear that SMARTER Balanced products will allow for quantitative measures of student growth for teacher evaluation purposes in English language arts and math (only) by 2014-15. For those teachers in grades and subjects for which there is no state-validated testing measure for the quantitative portion of the evaluation, a district approved assessment using objective measures of teacher effectiveness including student performance on unit or end-of-year tests shall be used.

**Example: Effective Teachers & Principals Calculation**

<table>
<thead>
<tr>
<th>Step</th>
<th>1</th>
<th>2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall Index Points Possible</td>
<td>% Teachers Proficient &amp; Distinguished X Overall Points</td>
<td>Score (%) Teachers X Overall Points</td>
</tr>
<tr>
<td>20</td>
<td>71%</td>
<td>14.2</td>
</tr>
</tbody>
</table>

**Total Points Effective Teachers/Principals Indicator**
INDICATOR #5: School Climate Survey
Positive school climate and a healthy school environment are associated with academic achievement, effective risk prevention efforts and positive youth development. This indicator is designed to address school climate issues such as bullying and violence and other problems that create conditions that negatively impact learning. It would include a comprehensive assessment of the major spheres of school life such as safety, relationships, teaching and learning, and healthy environment.

At both levels, the School Climate score will be measured using reliable statewide assessment tools. A work group will be convened to address this indicator and select or develop measurement tools. These tools may include parent, student, and staff surveys and/or assessment tools related to school policies, programs, and practices. This indicator will not be included in the School Performance Index until 2014-2015.

Classification, Recognition and Support
As noted on pages 2-3, schools will be ranked based on their scores on the School Performance Index. These rankings will be used to determine supports available through Title I.

Priority Schools
Each district with one or more Priority Schools must implement, for three years, meaningful interventions aligned with the turnaround principles. These schools will receive targeted state- and district-level support to include, among other things: participation in the Academy of Pace-Setting Districts, utilization of Indistar to develop a school transformation plan focused on rapid turnaround indicators, and implementation of a multi-tiered system of support (RTI). This designation applies to Title I and Title I eligible schools.

Focus Schools
Each district with one or more Focus Schools must implement, for two years, meaningful interventions aligned with the turnaround principles. These schools will receive some state- and district-level support, including support for the IndiStar analysis of effective practices. This designation applies to Title I and Title I eligible schools.

Title I schools that fall outside of these categories will have more district autonomy.

Exemplary Schools, those that rank at or above the top 5 percent on the index OR that show the most progress (as measured by the Academic Growth indicator) will receive special recognition through a statewide branding effort designed to draw attention to their outstanding performance. All public schools will be eligible for this designation.